

Declaration Statement

In order to apply for placement and sale of _____ Fund, the undersigned Company's statutory compliance self-check list has been re-checked by its audit division supervisor or legal division supervisor according to laws and regulations and with proper procedures, and distributed to respective divisions of the undersigned Company for them to work on their part of responsibility. This is to certify that the contents filled in the self-check list have no errors, careless omissions, falsification or hiding.

To: Financial Supervisory Commission, Executive Yuan

Company Name: _____ Co., Ltd.

Responsible person:: _____ (Sign or seal)

Audit division supervisor (or legal division supervisor) **【Note】** :
(Sign or seal)

MM/DD/YYYY

Note: If the general agency is a securities investment consulting enterprise without the audit division supervisor or legal division supervisor, the re-check can be made by internal audit personnel and, in this case, the internal audit personnel shall sign or seal it to take responsibility.