

# Market Participants in Taiwan Securities Market

## Securities Investment Trust Enterprises & Securities Investment Consulting Enterprises

### A. SITE

<http://www.sitca.org.tw/ENG/MemData/MD2001.aspx?PGMID=MD01&PORDER=1>

No	Member Name (Total : 39)
A0001	Mega International Investment Trust Co., Ltd.
A0003	First Securities Investment Trust Co., Ltd.
A0004	HSBC Global Asset Management (Taiwan) Limited
A0005	Yuanta Securities Investment Trust Co., Ltd.
A0006	Invesco Taiwan Limited
A0007	Eastspring Securities Investment Trust Co. Ltd.
A0008	Prudential Financial Securities Investment Trust Enterprise
A0009	Uni-President Asset Management Corporation
A0010	Fubon Asset Management Co., Ltd.
A0011	JPMorgan Asset Management (Taiwan) Limited
A0012	Hua Nan Investment Trust Corp.
A0014	Shin Kong Investment Trust Co., Ltd.
A0015	UBS Asset Management (Taiwan) Ltd.
A0016	Capital Investment Trust Corp.
A0017	Reliance Securities Investment Trust Co.,Ltd
A0018	AllianceBernstein Investments Taiwan Limited
A0020	Jih Sun Securities Investment Trust Co.,Ltd.
A0021	PineBridge Investments Management Taiwan Limited
A0022	Fuh Hwa Securities Investment Trust Co., Ltd.
A0025	SinoPac Securities Investment Trust Co.,Ltd.
A0026	CTBC Investments Co., Ltd.
A0027	Manulife Asset Management (Taiwan) Co., Limited
A0031	Blackrock Investment Management (Taiwan) Limited
A0032	Nomura Asset Management Taiwan Ltd.
A0033	Union Securities Investment Trust Co., Ltd.
A0035	Mirae Asset Global Investments (Taiwan)
A0036	Allianz Global Investors Taiwan Ltd.
A0037	Cathay Securities Investment Trust Co.,Ltd.
A0038	FIL Securities Investment Trust Co.(Taiwan) Limited
A0040	Deutsche Far Eastern Asset Management Company Limited
A0041	KGI Securities Investment Trust Co. Ltd.

No	Member Name (Total : 39)
A0042	Schroder Investment Management (Taiwan )Limited
A0043	Paradigm Asset Management Co. Ltd.
A0044	Aberdeen Standard Investments Taiwan Limited
A0045	Franklin Templeton SinoAm Securities Investment Management Inc.
A0047	Taishin Securites Investment Trust Co.,Ltd.
A0048	Taiwan Cooperative Securities Investment Trust Co., Ltd.
A0049	UOB Asset Management (Taiwan) Co.,Ltd.
A0050	Neuberger Berman Taiwan (SITE) Limited

## B.SICE

<http://www.sitca.org.tw/ENG/MemData/MD3001.aspx?PGMID=MD02&PORDER=1>

No	Member Name (Total : 82)
B0001	Jih Sun Securities Investment Consulting Co.,Ltd.
B0007	Fubon Securities Investment Services Co.
B0011	Yuanta Securities Investment Consulting Co.,Ltd.
B0015	Concord Capital Management Corp.
B0018	Taishin Securities Investment Advisory CO., Ltd
B0024	Goldmine Securities Investment Consulting Co.Ltd.
B0029	Franklin Templeton Securities Investment Consulting (SinoAm) Inc.
B0033	First Capital Management Inc.
B0034	Marbo Securities Consultant Co., Ltd.
B0038	Hwashin Security Investment Consultant Co., LTD.
B0041	
B0044	Horizon Securities Investment Consultant Co., Ltd.
B0046	Good Securities Investment Consultant Co.,Ltd.
B0047	Sinopac Securities Investment Service Corporation
B0049	BNP PARIBAS ASSET MANAGEMENT Taiwan Co., Ltd.
B0051	Chi Far Securities Investment Consultant Co., Ltd.
B0053	Asia Securities Investment Advisory Inc.
B0059	KGI Securities Investment Advisory Co., Ltd.
B0063	AZ Sinopro Investment Planning Limited
B0065	Legg Mason Investments (Taiwan) Ltd.
B0072	President Capital Management Corp.
B0075	Hwa Guan Securities Investment Consult
B0076	Mega International Investment Services Corporation Ltd.
B0085	Hantec Securities Consultant Limited
B0090	WanTung Security Investment Consultant Co., LTD.
B0109	Yun Ta Investment Consulting Co.,Ltd.

No	Member Name (Total : 82)
B0112	Forwin Securities Investment Consulting Co., Ltd.
B0118	EnTrust Investment Management Co., Ltd.
B0121	DAYU International Securities Investment Consultant
B0122	Capital Investment Management Corp
B0131	Ta Chan Securities Investment Consulting
B0133	E-invest Security Investment Consultant Co., LTD.
B0149	Baring SICE (Taiwan) Limited
B0152	Master Link Securities Investment Advisory Co., Ltd.
B0157	Dah Chang Securities Investment Consultant co., LTD.
B0159	Oriental Securities Investment Advisory
B0162	UBP TransGlobe Securities Investment Consulting Co., Ltd.
B0164	Ocean Securities Investment Consulting Corporation
B0192	Ding Yei Securities Investment Consultin
B0201	Waterland Securities Investment Consulting Co.,Ltd.
B0225	Cheng-Kang Securities Investment Consulting CO.,LTD
B0233	Ezfunds Securities Investment Consulting Enterprise Ltd.
B0246	Cathay Securities Investment Consulting Co.,Ltd.
B0251	E.Sun Investment Consulting Co., Ltd
B0252	Manage Finances Security Investment Consultant Co., Ltd. (suspension of business 20160513~20170506)
B0257	Richers Securities Investment Consulting Co., Ltd
B0268	Yong Cheng International securities investment consultant co.,ltd.
B0271	Da Hwa International Securities Investment Consulting Co., Ltd
B0276	Pin Feng China Securities Investment Consulting Co., Ltd.
B0282	FundsYES Investment Advisors Co., Ltd.
B0286	EFG Securities Investment Consulting Co.,Ltd.
B0295	Shin Kong Securities Investment Consultant Co., Ltd.
B0299	Amundi Taiwan Limited
B0303	Scott Asset Management Corp
B0305	Guang Yuan Securities Investment Consulting Co.,Ltd
B0308	Alpha Asset Management Corp. (suspension of business 20140305~20150304)
B0310	Harvest Securities Investment Consulting co.,Ltd.
B0311	Da-Lai International Securities Investment Consultant Co.,Ltd.
B0313	Capital Gateway Securities Investment Consulting Enterprise
B0317	Grand Fortune Securities Investment Consulting Co.,Ltd
B0318	Everyoung Securities Investment Consultant Co., Ltd..
B0319	Natixis Investment Managers Securities Investment Consulting (Taipei) Co., Ltd.

No	Member Name (Total : 82)
B0320	RoundHigh International Asset Management Co. Ltd.
B0322	Progressive Global Investment Advisor Limited
B0324	Global Securities Investment Consulting Co., Ltd
B0325	Rich Union Investment Consulting Corp.
B0327	Xin Cheng International Investment Consultant
B0328	Pictet SICE(Taiwan)Limited
B0330	Daniel Securities Investment Consulting Co., Ltd
B0333	Core Securities Investment Consulting Co., Ltd.
B0334	Threadneedle Investments Taiwan Limited
B0335	Janus Henderson Investors Taiwan Limited
B0339	Time Investment Limited
B0340	CTBC Securities Investment Service Co., Ltd.
B0341	Ta Ching Securities Investment Consulting Co., Ltd
B0343	Eco Global Asset Management Co.Ltd.
B0344	Pyra-Opti capital management company
B0346	TAROBO INVESTMENT ADVISORS LTD
B0347	Grand Grace International Securities Investment Consulting Co.,Ltd.
B0348	
B0349	Reliance Securities Investment Consultant CO., LTD
B0350	FinRobo Advisor Sec. Inv. Consulting Co., Ltd.,

### C. Concurrent Business as SICE

<http://www.sitca.org.tw/ENG/MemData/MD5001.aspx?PGMID=MD04&PORDER=1>

No	Member Name (Total : 75)
A0003	First Securities Investment Trust Co., Ltd.
A0004	HSBC Global Asset Management (Taiwan) Limited
A0005	Yuanta Securities Investment Trust Co., Ltd.
A0006	Invesco Taiwan Limited
A0008	Prudential Financial Securities Investment Trust Enterprise
A0010	Fubon Asset Management Co., Ltd.
A0011	JPMorgan Asset Management (Taiwan) Limited
A0014	Shin Kong Investment Trust Co., Ltd.
A0015	UBS Asset Management (Taiwan) Ltd.
A0016	Capital Investment Trust Corp.
A0018	AllianceBernstein Investments Taiwan Limited
A0020	Jih Sun Securities Investment Trust Co.,Ltd.
A0021	PineBridge Investments Management Taiwan Limited
A0022	Fuh Hwa Securities Investment Trust Co., Ltd.
A0025	SinoPac Securities Investment Trust Co.,Ltd.

No	Member Name (Total : 75)
A0026	CTBC Investments Co., Ltd.
A0027	Manulife Asset Management (Taiwan) Co., Limited
A0031	Blackrock Investment Management (Taiwan) Limited
A0032	Nomura Asset Management Taiwan Ltd.
A0035	Mirae Asset Global Investments (Taiwan)
A0036	Allianz Global Investors Taiwan Ltd.
A0037	Cathay Securities Investment Trust Co.,Ltd.
A0040	Deutsche Far Eastern Asset Management Company Limited
A0041	KGI Securities Investment Trust Co. Ltd.
A0042	Schroder Investment Management (Taiwan )Limited
A0043	Paradigm Asset Management Co. Ltd.
A0044	Aberdeen Standard Investments Taiwan Limited
A0045	Franklin Templeton SinoAm Securities Investment Management Inc.
A0047	Taishin Securites Investment Trust Co.,Ltd.
A0048	Taiwan Cooperative Securities Investment Trust Co., Ltd.
A0049	UOB Asset Management (Taiwan) Co.,Ltd.
A0050	Neuberger Berman Taiwan (SITE) Limited
C0002	Bank SinoPac Co., Ltd.
C0003	CTBC Bank Co., Ltd.
C0004	First Commercial Bank
C0005	Bank Of Taiwan
C0006	Taishin International Bank
C0007	E.Sun Commercial Bank
C0008	The Shanghai Commercial & Savings Bank Ltd.
C0009	Taipei Fubon Commercial Bank Co.,Ltd.
C0010	Hua Nan Commercial Bank,Ltd.
C0011	KGI Commercial Bank Co., Ltd.
C0012	Yuanta Commercial Bank Company Limited
C0013	Bank of Kaohsiung
C0014	Cathay United Bank Co., Ltd
C0015	Far Eastern International Bank
C0016	Lank Bank of Taiwan
C0019	Mega International Commercial Bank co.,Ltd.
C0021	BNP Paribas ,Taipei Branch
C0022	HSBC Bank (Taiwan) Limited
C0024	JIH SUN INTERNATIONAL BANK
C0025	UBS AG,Taipei Branch
C0027	Standard Chartered Bank(Taiwan) Limited

No	Member Name (Total : 75)
C0030	Deutsche Bank A.G. Taipei Branch
C0031	Taiwan Cooperative Bank
C0034	DBS Bank ( Taiwan ) Ltd.
C0035	ANZ Bank (Taiwan) Limited
C0036	Chang Hwa Commercial Bank,Ltd
C0038	Taipei Star Bank Co.,Ltd
C0039	O-Bank Co.,Ltd
C0040	Taiean Shin Kong Commercial Bank
D0008	Waterland Securities Co., Ltd
D0014	Far Eastern International Securities Company Limited.
D0015	Yuanta Securities Co., Ltd.
D0016	Taiwan Cooperative Securities
D0017	Fubon Securities CO.,Ltd
D0018	MasterLink Securities Corporation
D0019	Cathay Securities Corporation
D0020	SinoPac Securities Corporation
E0003	KGI Futures Co., Ltd.
E0004	Cathay Futures Co;Ltd.
E0005	Fubon Futures Co.,Ltd
E0006	SinoPac Futures Corp.
E0007	HUA NAN FUTURES
E0008	CAPITAL FUTURES CORP.