

The Financial Regulatory Directory

Entry	Regulatory name (Chinese and English)
1	金融監督管理委員會組織法 The Organizational law of Financial Supervisory Commission Republic of China(Taiwan)
2	金融監督管理委員會監理年費檢查費計繳標準及規費收取辦法 Financial Supervisory Commission Regulations Governing the Standards of the Calculation and Payment of Examination Charges and Annual Supervisory Fees and the Collection of Official Fees
3	金融監督管理委員會處理違反金融法令重大裁罰措施之對外公布說明辦法 Regulations Governing Public Disclosure by the Financial Supervisory Commission of Material Enforcement Actions for Violations of Financial Legislation
4	金融消費者保護法 Financial Consumer Protection Act
5	金融服務業從事廣告業務招攬及營業促銷活動辦法 Regulations Governing the Advertisements and Solicitation or Promotional Activities Conducted by the Financial Services Enterprise
6	金融服務業確保金融商品或服務適合金融消費者辦法 Regulations Governing Ascertaining the Suitability of Financial Products or Services to the Financial Consumer
7	金融服務業提供金融商品或服務前說明契約重要內容及揭露風險辦法 Regulations Governing Explaining the Important Aspects of the Contract and Disclosing Associated Risks before Providing Financial Products or Services
8	金融消費爭議處理機構設立及管理辦法 Regulations Governing the Establishment and Administration of the Ombudsman Body
9	金融消費爭議處理機構評議委員資格條件聘任解任及評議程序辦法 Regulations Governing the Qualification Requirements, Appointment and Dismissal of Ombudsman Committee

	Members and the Procedures by which the Ombudsman Body Handles Ombudsman Cases
10	國際金融業務條例 Offshore Banking Act
11	國際金融業務條例施行細則 Offshore Banking Act Enforcement Rules
12	國際金融業務分行管理辦法 Rules Governing Offshore Banking Branches
13	國際證券業務分公司管理辦法 Regulations Governing Offshore Securities Branches
14	國際保險業務分公司管理辦法 Regulations Governing Offshore Insurance Branches
15	金融科技發展與創新實驗條例 Financial Technology Development and Innovative Experimentation Act
16	金融科技創新實驗管理辦法 Regulations Governing Financial Technology Innovative Experimentation
17	金融科技創新實驗審查會議及評估會議運作辦法 Regulations Governing the Operations of Financial Technology Innovative Experimentation Review Meetings and Evaluation Meetings
18	金融科技創新實驗民事爭議處理收費辦法 Regulations Governing Fees for Handling Civil Disputes Arising from Financial Technology Innovative Experimentation
19	銀行法 The Banking Act of The Republic of China
20	銀行法施行細則 Enforcement Rules of the Banking Act
21	銀行負責人應具備資格條件兼職限制及應遵行事項準則 Regulations Governing Qualification Requirements and Concurrent Serving Restrictions and Matters for Compliance by the Responsible Persons of Banks
22	同一人或同一關係人持有同一銀行已發行有表決權股份總數超過一定比率管理辦法 Regulations Governing Approvals of the Same Persons' or Same Concerned Persons' Applications to Own More Than a Certain Percentage of the Issued Voting Shares of a Same Bank
23	銀行資本適足性及資本等級管理辦法 Regulations Governing the Capital Adequacy and

	Capital Category of Banks
24	金融控股公司及銀行業內部控制及稽核制度實施辦法 Implementation Rules of Internal Audit and Internal Control System of Financial Holding Companies and Banking Industries
25	金融機構作業委託他人處理內部作業制度及程序辦法 Regulations Governing Internal Operating Systems and Procedures for the Outsourcing of Financial Institution Operation
26	銀行辦理衍生性金融商品業務內部作業制度及程序管理辦法 Regulations Governing Internal Operating Systems and Procedures for Banks Conducting Financial Derivatives Business
27	存款帳戶及其疑似不法或顯屬異常交易管理辦法 Regulations Governing the Deposit Accounts and Suspicious or Unusual Transactions
28	信用卡業務機構管理辦法 Regulations Governing Institutions Engaging In Credit Card Business
29	臺灣地區與大陸地區金融業務往來及投資許可管理辦法 Regulations Governing the Banking Activity and the Establishment and the Investment by Financial Institution Between the Taiwan Area and the Mainland Area
30	商業銀行投資有價證券之種類及限額規定 Directions Governing Limitations on Types and Amounts of the Securities in Which a Commercial Bank May Invest
31	商業銀行投資不動產辦法 Regulations Governing Investments in Real Estate by Commercial Banks
32	金融控股公司法 Financial Holding Company Act
33	金融控股公司發起人負責人應具備資格條件負責人兼職限制及應遵行事項準則 Regulations Governing Qualification Requirements for the Founder or Responsible Persons of Financial Holding Companies and Concurrent Serving Restrictions and Matters for Compliance by the Responsible Persons of a Financial Holding Company
34	金融控股公司投資管理辦法 Regulations Governing the Investing Activities of a

	Financial Holding Company
35	金融機構合併法 The Financial Institutions Merger Act
36	存款保險條例 Deposit Insurance Act
37	存款保險條例施行細則 Enforcement Regulations of the Deposit Insurance Act
38	信用合作社法 The Credit Cooperatives Act Of The Republic Of China
39	信用合作社法施行細則 Enforcement Rules of Credit Cooperatives Act
40	信用合作社社員代表理事監事經理人應具備資格條件及選聘辦法 Regulations Governing the Qualifications and Election/Appointment of Membership Representatives, Directors, Supervisors and Managerial Officers of Credit Cooperatives
41	金融資產證券化條例 Financial Asset Securitization Act
42	受託機構發行受益證券特殊目的公司發行資產基礎證券處理準則 Regulations Governing Issuance of Beneficiary Securities by Trustee and Asset-Backed Securities by Special Purpose Company
43	不動產證券化條例 Clauses of the Real Estate Securitization Act
44	受託機構募集或私募不動產投資信託或資產信託受益證券處理辦法 Regulations Governing the Public Offering or Private Placement of REIT and REAT Beneficiary Securities by a Trustee
45	信託業法 Trust Enterprise Act
46	信託業法施行細則 Enforcement Rules of the Trust Enterprise Act
47	信託業負責人應具備資格條件暨經營與管理人員應具備信託專門學識或經驗準則 Regulations Governing Required Qualifications for Responsible Persons and Required Trust Expertise or Experience for Operations and Managerial Personnel of Trust Enterprises
48	境外結構型商品管理規則

	Regulations Governing Offshore Structured Products
49	信託業營運範圍受益權轉讓限制風險揭露及行銷訂約管理辦法 Regulations Governing the Scope of Business, Restrictions on Transfer of Beneficiary Rights, Risk Disclosure, Marketing, and Conclusion of Contract by Trust Enterprises
50	證券投資信託事業證券投資顧問事業證券商兼營信託業務管理辦法 Regulations Governing Concurrent Conduct of Trust Business by Securities Investment Trust Enterprises, Securities Investment Consulting Enterprises, and Securities Firms
51	票券金融管理法 The Act Governing Bills Finance Business
52	票券金融管理法施行細則 Enforcement Rules of Governing Bills Finance Business Act
53	票券商負責人及業務人員管理規則 Regulations Governing the Responsible Persons and Business Staff Members of Bills Houses
54	電子票證發行管理條例 Act Governing Issuance of Electronic Stored Value Cards
55	電子票證發行機構業務管理規則 Rules Governing the Business of Electronic Stored Value Card Issuers
56	電子票證發行機構負責人兼職限制及應遵行事項準則 Regulations Governing Concurrent Serving Restrictions and Matters for Compliance by the Responsible Persons of Electronic Stored Value Card Issuers
57	電子支付機構管理條例 The Act Governing Electronic Payment Institutions
58	與境外機構合作或協助境外機構於我國境內從事電子支付機構業務相關行為管理辦法 Regulations Governing Cooperating with or Assisting Foreign Institutions in Engaging in Activities Associated with Electronic Payment Business within the Territory of the Republic of China
59	電子支付機構使用者身分確認機制及交易限額管理辦法 Regulations Governing Identity Verification Mechanism and Transaction Limits for Users of Electronic Payment Institutions

60	電子支付機構業務管理規則 Rules Governing the Administration of Electronic Payment Business
61	管理外匯條例 Foreign Exchange Regulation Act
62	證券交易法 Securities and Exchange Act
63	證券交易法施行細則 Securities and Exchange Act Enforcement Rules
64	發行人募集與發行有價證券處理準則 Regulations Governing the Offering and Issuance of Securities by Securities Issuers
65	華僑及外國人投資證券管理辦法 Regulations Governing Investment in Securities by Overseas Chinese and Foreign Nationals
66	證券商管理規則 Regulations Governing Securities Firms
67	證券商負責人與業務人員管理規則 Regulations Governing Responsible Persons and Associated Persons of Securities Firms
68	公開發行公司取得或處分資產處理準則 Regulations Governing the Acquisition and Disposal of Assets by Public Companies
69	大陸地區投資人來臺從事證券投資及期貨交易管理辦法 Regulations Governing Securities Investment and Futures Trading in Taiwan by Mainland Area Investors
70	臺灣地區與大陸地區證券期貨業務往來及投資許可管理辦法 Regulations Governing Permission and Administration of Securities and Futures Business Dealings and Investment Between the Taiwan Area and the Mainland Area
71	期貨交易法 Futures Trading Act
72	期貨交易法施行細則 Futures Trading Act Enforcement Rules
73	期貨商管理規則 Regulations Governing Futures Commission Merchants
74	期貨商負責人及業務員管理規則 Regulations Governing Responsible Persons and Associated Persons of Futures Commission Merchants
75	會計師法 Certified Public Accountant Act

76	會計師懲戒委員會與懲戒覆審委員會組織及審議規則 Regulations Governing the Organization and Deliberation Procedures of the CPA Discipline Committee and the CPA Disciplinary Rehearing Committee
77	證券投資人及期貨交易人保護法 Securities Investor and Futures Trader Protection Act
78	證券投資信託及顧問法 Securities Investment Trust and Consulting Act
79	證券投資信託事業管理規則 Regulations Governing Securities Investment Trust Enterprises
80	證券投資顧問事業管理規則 Regulations Governing Securities Investment Consulting Enterprises
81	證券投資信託事業負責人與業務人員管理規則 Regulations Governing Responsible Persons and Associated Persons of Securities Investment Trust Enterprises
82	證券投資顧問事業負責人與業務人員管理規則 Regulations Governing Responsible Persons and Associated Persons of Securities Investment Consulting Enterprises
83	證券投資信託基金管理辦法 Regulations Governing Securities Investment Trust Funds
84	證券投資信託事業證券投資顧問事業經營全權委託投資業務管理辦法 Regulations Governing the Conduct of Discretionary Investment Business by Securities Investment Trust Enterprises and Securities Investment Consulting Enterprises
85	境外基金管理辦法 Regulations Governing Offshore Funds
86	保險法 Insurance Act
87	保險法施行細則 Enforcement Rules of Insurance Act
88	保險業資金辦理專案運用公共及社會福利事業投資管理辦法 Regulations Governing Use of Insurer's funds in Special Projects, Public Utilities and Social Welfare Enterprises
89	保險業負責人應具備資格條件準則

	Regulations Governing Required Qualifications for Responsible Persons of Insurance Enterprises
90	臺灣地區與大陸地區保險業務往來及投資許可管理辦法 Regulations Governing Permission of Insurance Business Transactions and Investment Between the Taiwan Area and the Mainland Area
91	保險業招攬及核保理賠辦法 Regulations Governing Business Solicitation, Policy Underwriting and Claim Adjusting of Insurance Enterprises
92	保險業辦理國外投資管理辦法 Regulations Governing Foreign Investments by Insurance Companies
93	保險代理人管理規則 Regulations Governing Insurance Agents
94	保險經紀人管理規則 Regulations Governing Insurance Brokers
95	保險公證人管理規則 Regulations Governing Insurance Surveyors
96	保險商品銷售前程序作業準則 Regulations Governing Pre-sale Procedures for Insurance Products
97	保險業設立許可及管理辦法 Regulations for Establishment and Administration of Insurance Enterprises
98	保險業務員管理規則 Regulations Governing the Supervision of Insurance Solicitors
99	保險業從事衍生性金融商品交易管理辦法 Regulations Governing Derivatives Transactions Conducted by Insurance Companies
100	人身保險業資訊公開管理辦法 Regulations Governing Public Disclosure of Information by Life Insurance Enterprises
101	財產保險業資訊公開管理辦法 Regulations Governing Public Disclosure of Information by Non-life Insurance Enterprises
102	保險業內部控制及稽核制度實施辦法 Regulations Governing Implementation of Internal Control and Auditing System of Insurance Enterprises
103	保險業資本適足性管理辦法 Regulations Governing Capital Adequacy of Insurance

	Companies
104	強制汽車責任保險法 Compulsory Automobile Liability Insurance Act
105	強制汽車責任保險法施行細則 Enforcement Rules for the Compulsory Automobile Liability Insurance Act
106	強制汽車責任保險給付標準 Payment Standards of the Compulsory Automobiles Liability Insurance
107	簡易人壽保險法 Simple Life Insurance Act
108	公司法 Company Act
109	票據法 Negotiable Instruments Act
110	信託法 Trust Law
111	企業併購法 Business Mergers And Acquisitions Act
112	洗錢防制法 Money Laundering Control Act
113	金融機構防制洗錢辦法 Regulations Governing Anti-Money Laundering of Financial Institutions
114	銀行業及其他經金融監督管理委員會指定之金融機構防制洗錢及打擊資恐內部控制與稽核制度實施辦法 Regulations Governing Internal Audit and Internal Control System of Anti-Money Laundering and Countering Terrorism Financing of Banking Business and Other Financial Institutions Designated by the Financial Supervisory Commission
115	證券期貨業及其他經金融監督管理委員會指定之金融機構防制洗錢及打擊資恐內部控制與稽核制度實施辦法 Regulations Governing Internal Audit and Internal Control System of Anti-Money Laundering and Countering Terrorism Financing of Securities and Futures Business and Other Financial Institutions Designated by the Financial Supervisory Commission
116	保險公司與辦理簡易人壽保險業務之郵政機構及其他經金融監督管理委員會指定之金融機構防制洗錢及打擊資恐內部控制與稽核制度實施辦法

	Regulations Governing Implementation of Internal Control and Audit System for Anti-Money Laundering and Countering Terrorism Financing of Insurance Companies, Post Offices Engaging in Simple Life Insurance Business and Other Financial Institutions Designated by the Financial Supervisory Commission
117	金融科技創新實驗洗錢及打擊資恐辦法 Regulations Governing Anti-Money Laundering and Countering Terrorism Financing of Financial Technology Innovative Experimentation
118	會計師防制洗錢及打擊資恐辦法 Regulations Governing Anti-Money Laundering and Countering the Financing of Terrorism for Certified Public Accountants
119	辦理融資性租賃業務事業防制洗錢辦法 Regulations Governing Anti-Money Laundering of Financial Leasing Enterprises
120	辦理融資性租賃業務事業防制洗錢及打擊資恐內部控制與稽核制度實施辦法 Regulations Governing Internal Audit and Internal Control System of Anti-Money Laundering and Countering Terrorism Financing of Financial Leasing Enterprises
121	資恐防制法 Counter-Terrorism Financing Act
122	個人資料保護法 Personal Data Protection Act
123	個人資料保護法施行細則 Enforcement Rules of the Personal Data Protection Act
124	金融監督管理委員會指定非公務機關個人資料檔案安全維護辦法 Regulations Governing Security Measures of the Personal Information File for Non-government Agencies Designated by Financial Supervisory Commission
125	政府資訊公開法 The Freedom of Government Information Law
126	行政程序法 Administrative Procedure Act
127	行政罰法 Administrative Penalty Act
128	中央法規標準法 Central Regulation Standard Act

129	中央銀行法 The Central Bank of the Republic of China (Taiwan) Act
130	立法院職權行使法 Law of the Legislative Authority exercise
131	國家賠償法 State Compensation Law
132	訴願法 Administrative Appeal Act
133	行政訴訟法 The Administrative Litigation Act
134	行政執行法 The Administrative Execution Act
135	消費者保護法 Consumer Protection Act
136	公平交易法 Fair Trade Act
137	臺灣地區與大陸地區人民關係條例 Act Governing Relations between the People of the Taiwan Area and the Mainland Area
138	消費者債務清理條例 The Consumers Debt Clearance Act