

### 三、證券服務事業家數統計表(21)

#### 3.Highlights of Number of Securities Services Providers (21)

年 Year	證券商總家數 No. of Securities Firms		經紀商 Broker	自營商 Dealer	承銷商 Under- Writer	投資信託 Securities Investment Trust Enterprises	投資顧問 Securities Investment Consulting
	總公司 Head- quarter	分公司 Branch					
1994	262	235	245	72	57	15	95
1995	247	320	232	72	55	15	102
1996	229	420	212	79	58	19	115
1997	221	663	199	95	71	24	147
1998	215	911	188	108	81	29	196
1999	212	987	184	112	84	36	219
2000	190	1,092	158	105	75	38	238
2001	183	1,094	151	105	75	41	223
2002	163	1,020	125	97	66	44	212
2003	154	1,048	114	95	59	43	208
Jul.	161	1,035	119	98	62	44	211
Aug.	161	1,038	118	98	62	44	211
Sep.	160	1,043	117	98	62	44	211
Oct.	158	1,044	116	96	60	43	211
Nov.	156	1,047	115	96	60	43	211
Dec.	154	1,048	114	95	59	43	208
2004	150	1,074	110	95	57	43	213
Jan.	150	1,053	112	92	58	43	207
Feb.	148	1,058	110	92	58	43	207
Mar.	148	1,062	110	93	58	43	210
Apr.	148	1,064	110	93	58	43	210
May	148	1,068	111	93	58	43	211
Jun.	150	1,074	110	95	57	43	213

註：1.截至九十三年六月證期會核准辦理有價證券融資融券之證券商計41家。

外國證券商在台設分公司計19家，在台代表人辦事處計1家。

2.截至九十三年六月核准辦理全權委託業務並完成營業執照換發之證券投資信託公司計35

家，證券投資顧問公司計42家，信託業兼投顧業務10家，契約金額共新台幣3,705億元。

Note:1.Until Mar. 2004, the number of brokers approved to deal with margin purchase and short selling of securities is 41. There were 19 foreign securities branches and 1 foreign representative offices.

2.By May 2004, there were 35 Securities Investment Consulting Enterprises,42 Securities Investment Trust Enterprises, 10 Trust Companies, which had obtained the licenses of Discretionary Account Business by the Commission. The effective contract size total amount is NT\$ 371 billion.