



## I. Important announcements ([Chinese](#))

### 1. Amendment to the Regulations Governing the Organization and Mediation Procedures of Securities Investor and Futures Trader Protection Institution Mediation Committee

Article 8 of the Regulations Governing the Organization and Mediation Procedures of Securities Investor and Futures Trader Protection Institution Mediation Committee was amended on 27 September 2016. The amendment adds the sanctions of "removal from office" of a civil servant and "deprivation of pension" of a civil servant to the list of disqualifying conditions for serving as a mediation committee member, to harmonize with an amendment to the Civil Servants Disciplinary Sanctions Act that took effect on 2 May 2016. The amendment to the Regulations also includes editorial amendments to reflect changes in the numbering of provisions of the Certified Public Account Act regarding the type of disciplinary actions and sanctions for CPAs.

### 2. Information on the competitiveness of Taiwan's financial industry is available online in English at: [Taiwan's International Financial Competitiveness](http://event.fsc.gov.tw/fsd/CompetitivenessFront.asp?LANG=E) (<http://event.fsc.gov.tw/fsd/CompetitivenessFront.asp?LANG=E>)

## II. Market Wrap-up

As of the end of August 2016, the total number of TWSE listed companies stood at 880, a decrease of 1 over the preceding month. Authorized capital was NT\$7,018.22 billion, an increase of NT\$49.58 billion over the preceding month. Market capitalization was NT\$26,736.94 billion, an increase over the preceding month of NT\$261.01 billion.

There were 724 TPEX listed companies, an increase of 1 over the preceding month. Authorized capital was NT\$711.24 billion, an increase of NT\$1.84 billion from the preceding month. Total TPEX market capitalization was NT\$2,872.28 billion, a decrease of NT\$6.46 billion from the preceding month.

The total value of stock trades on the centralized market was NT\$1,598.10 billion, an increase of NT\$52.36 billion from the preceding month. The volume of trading was 42.66 billion shares, an increase of 1.05 billion from the preceding month. The net value

of inwardly remitted foreign funds was US\$2,064.99 billion, an increase of US\$4.69 billion from the preceding month.

There were a total of 73 securities firms. There were 15 enterprises exclusively engaged in futures commission merchant business, 38 investment trust companies, and 88 investment consulting companies.

**III. Measures related to futures trading, please refer to the website of Taiwan Futures Exchange ([http://www.taifex.com.tw/eng/eng\\_home.htm](http://www.taifex.com.tw/eng/eng_home.htm))**

**IV. For frequently asked questions and answers related to investment by foreign investors, please refer to the website of the Securities and Futures Bureau: <http://www.sfb.gov.tw/ch/home.jsp?id=30&parentpath=0,6>**

Note: If you expect to receive this newsletter, or have your name deleted from the sending list, or have your email information changed, please send to [newsletter@sfb.gov.tw](mailto:newsletter@sfb.gov.tw). If you hope to know more about the Taiwan's securities and futures markets, please surf the websites of Securities and Futures Bureau, Taiwan Stock Exchange, Taiwan Futures Exchange, Taipei Exchange, Taiwan Securities Central Depository.

Disclaimer: The SFB did its utmost to ensure that the information in newsletter is complete and true. All materials on this newsletter are for general information only. They are not legal or other professional advice and shall not be relied on as such. The SFB and its employees do not warrant the accuracy and completeness of the materials and disclaim all liabilities for eventual loss or damage. Links to external websites are provided purely for convenience. The SFB has no control over the websites and does not assume any responsibility for their contents. Inclusion in this website of any document from a third party or the provision of links does not constitute endorsement of the contents.

Copyright Notice: Copyright protected materials on this newsletter shall not be used for commercial purposes. The SFB permits accurate reproduction of the materials for non-commercial use. When third party copyright is involved, permission for reproduction must be obtained direct from the appropriate copyright owner.



### 金管會證期局第一百四十八期新聞信

[top](#)

#### 壹、重要公告

##### 一、修正「證券投資人及期貨交易人保護機構調處委員會組織及調處辦法」

為配合 105 年 5 月 2 日修正施行之公務員懲戒法，將公務員受「免除職務」、「剝奪退休(職、伍)金」之處分納入不得充任調處委員之消極資格規定；另配合會計師法有關會計師懲戒處分種類規定之條次變更，爰於 105 年 9 月 27 日修正「證券投資人及期貨交易人保護機構調處委員會組織及調處辦法」第 8 條規定。

##### 二、有關我國金融競爭力相關資訊可參考金管會金融競爭力專區網站

(<http://www.fsc.gov.tw/ch/home.jsp?id=146&parentpath=0,8>)

#### 貳、重要指標

截至 2016 年 8 月底止，上市公司計有 880 家，較上月減少 1 家；資本額新臺幣 70,182.2 億元，較上月增加新臺幣 495.8 億元；上市市值新臺幣 267,369.4 億元，較上月增加新臺幣 2,610.1 億元。

上櫃公司計有 724 家，較上月增加 1 家；資本額新臺幣 7,112.4 億元，較上月增加新臺幣 18.4 億元；上櫃市值新臺幣 28,722.8 億元，較上月減少新臺幣 64.6 億元。

集中市場股票總成交值新臺幣 15,981 億元，較上月增加新臺幣 523.6 億元；成交量 426.6 億股，較上月增加 10.5 億股。外資總累積匯入淨額 20,649.9 億美元，較上月增加 46.9 億美元。

專營證券商計 73 家，專營期貨商計 15 家，投資信託公司計 38 家，投資顧問公司計 88 家。

##### 參、交易人從事期貨交易相關措施請參考臺灣期貨交易所網站

<http://www.taifex.com.tw/chinese/home.htm>

##### 肆、外資投資相關問答集請參考證券期貨局網站

<http://www.sfb.gov.tw/ch/home.jsp?id=30&parentpath=0,6>

**2016-10 Updated**