



I. Important announcements ([Chinese](#))

1. Announced Amendments to the “Regulations Governing the Establishment of Internal Control Systems by Service Enterprises in Securities and Futures Markets”

The FSC introduced the amendments of the Regulations on September 30, 2017 to lay down requirements for anti-money laundering and combating the financing of terrorism (AML/CFT), handling and reporting of material events and strengthening the role of legal compliance units for service enterprises.

2. Information on the competitiveness of Taiwan's financial industry is available online in English at: [Taiwan's International Financial Competitiveness](http://event.fsc.gov.tw/fsd/CompetitivenessFront.asp?LANG=E) (<http://event.fsc.gov.tw/fsd/CompetitivenessFront.asp?LANG=E>)

II. Market Wrap-up

As of the end of July 2017, the total number of TWSE listed companies stood at 900, the same as the preceding month. Authorized capital was NT\$7,075.25 billion, an increase of NT\$6.31 billion over the preceding month. Market capitalization was NT\$30,951.44 billion, an increase over the preceding month of NT\$110.26 billion.

There were 742 TPEX listed companies, the same as the preceding month. Authorized capital was NT\$727.38 billion, an increase of NT\$2.31 billion from the preceding month. Market capitalization was NT\$3,098.41 billion, an increase of NT\$80.05 billion from the preceding month.

The total value of stock trades on the centralized market was NT\$1,882.99 billion, a decrease of NT\$129.38 billion from the preceding month. The volume of trading was 45.3 billion shares, a decrease of 4.95 billion from the preceding month. The net value of inwardly remitted foreign funds was US\$211.843 billion, a decrease of US\$940 million from the preceding month.

There were a total of 68 securities firms. There were 15 enterprises exclusively engaged in futures commission merchant business, 39 investment trust companies, and 89 investment consulting companies.

III. Measures related to futures trading, please refer to the website of Taiwan Futures Exchange (http://www.taifex.com.tw/eng/eng_home.htm)

IV. For frequently asked questions and answers related to investment by foreign investors, please refer to the website of the Securities and Futures Bureau: <http://www.sfb.gov.tw/ch/home.jsp?id=30&parentpath=0,6>

Note: If you expect to receive this newsletter, or have your name deleted from the sending list, or have your email information changed, please send to newsletter@sfb.gov.tw. If you hope to know more about the Taiwan's securities and futures markets, please surf the websites of Securities and Futures Bureau, Taiwan Stock Exchange, Taiwan Futures Exchange, Taipei Exchange, Taiwan Securities Central Depository.

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壹、重要公告

一、發布修正「證券暨期貨市場各服務事業建立內部控制制度處理準則」

金管會於 106 年 9 月 30 日公布修正旨揭處理準則部分條文，期使各服務事業重視其防制洗錢及打擊資恐、重大事件之處理及通報，及強化服務事業之法令遵循單位職能。

二、有關我國金融競爭力相關資訊可參考金管會金融競爭力專區網站

(<http://www.fsc.gov.tw/ch/home.jsp?id=146&parentpath=0,8>)

貳、重要指標

截至 2017 年 7 月底止，上市公司計有 900 家，和上月家數相同；資本額新臺幣 70,752.5 億元，較上月增加新臺幣 63.1 億元；上市市值新臺幣 309,514.4 億元，較上月增加新臺幣 1,102.6 億元。

上櫃公司計有 742 家，和上月家數相同；資本額新臺幣 7,273.8 億元，較上月增加新臺幣 23.1 億元；上櫃市值新臺幣 30,984.1 億元，較上月增加新臺幣 800.5 億元。

集中市場股票總成交值新臺幣 18,829.9 億元，較上月減少新臺幣 1,293.8 億元；成交量 453 億股，較上月減少 49.5 億股。外資總累積匯入淨額 2,118.43 億美元，較上月減少 9.4 億美元。

專營證券商計 68 家，專營期貨商計 15 家，投資信託公司計 39 家，投資顧問公司計 89 家。

參、交易人從事期貨交易相關措施請參考臺灣期貨交易所網站

<http://www.taifex.com.tw/chinese/home.htm>

肆、外資投資相關問答集請參考證券期貨局網站

<http://www.sfb.gov.tw/ch/home.jsp?id=30&parentpath=0,6>

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