



I. Important announcements ([Chinese](#))

1. Broadening the Scope for the Use of Funds Obtained by Securities Finance Enterprises from the Issuance of Commercial Paper

To meet the practical business needs of securities finance enterprises and increase their operational flexibility, the Financial Supervisory Commission issued an amendment to the Regulations Governing Securities Finance Enterprises on February 12th, 2018. The amendment allows the enterprises to use funds obtained from the issuance of commercial paper as working capital to conduct the types of business enumerated in Article 5, Paragraph 1 of the Regulations, such as extending stock secured loans.

2. Order Concerning the exemption from Article 150 of the Securities and Exchange Act when Leverage Transaction Merchants Engaging in Leverage Contract Trading Involving Taiwan equities settled by physical delivery of listed securities

On March 13th, 2018, the Financial Supervisory Commission issued Order No. 1070100686, which stipulates that when leverage transaction merchants engage in leverage contract trading involving Taiwan equities under the Regulations Governing Leverage Transaction Merchants, if the contracts are settled by physical delivery of listed securities in the leverage transaction merchant's hedge account, such trades are exempted from the restriction of Article 150 of the Securities and Exchange Act, which requires that trading of listed securities should be conducted within a centralized securities exchange market operated by a stock exchange.

3. Information on the competitiveness of Taiwan's financial industry is available online in English at: Taiwan's International Financial Competitiveness (<http://event.fsc.gov.tw/fsd/CompetitivenessFront.asp?LANG=E>)

II. Market Wrap-up

As of the end of January 2018, the total number of TWSE listed companies stood at 910, an increase of 3 over the preceding month. Authorized capital was NT\$ 7,140.16 billion, an increase of NT\$ 3.97 billion over the preceding month. Market capitalization was NT\$ 33,277.30 billion, an increase over the preceding month of NT\$ 1,445.36

billion.

There were 750 TPEX listed companies, an increase of 6 over the preceding month. Authorized capital was NT\$ 724.29 billion, an increase of NT\$ 1.93 billion from the preceding month. Market capitalization was NT\$ 3,432.04 billion, an increase of NT\$ 115 billion from the preceding month.

The total trading value of stock on the centralized market was NT\$2,804.86 billion, an increase of NT\$ 520.58 billion from the preceding month. The volume of trading was 66.13 billion shares, an increase of 13.96 billion from the preceding month. The net value of inwardly remitted foreign funds was US\$ 213.247 billion, an increase of US\$ 5.192 billion from the preceding month.

There were a total of 65 securities firms. There were 15 enterprises exclusively engaged in futures commission merchant business, 39 securities investment trust enterprises, and 84 securities investment consulting enterprises.

III. Measures related to futures trading, please refer to the website of Taiwan Futures Exchange (http://www.taifex.com.tw/eng/eng_home.htm)

IV. For frequently asked questions and answers related to investment by foreign investors, please refer to the website of the Securities and Futures Bureau: <http://www.sfb.gov.tw/ch/home.jsp?id=30&parentpath=0,6>

Note: If you expect to receive this newsletter, or have your name deleted from the sending list, or have your email information changed, please send to newsletter@sfb.gov.tw. If you hope to know more about the Taiwan's securities and futures markets, please surf the websites of [Securities and Futures Bureau](#), [Taiwan Stock Exchange](#), [Taiwan Futures Exchange](#), [Taipei Exchange](#), [Taiwan Securities Central Depository](#).

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金管會證期局第一百六十四期新聞信

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壹、重要公告

一、放寬證券金融事業發行商業本票取得資金之運用範圍

基於證券金融事業實際業務需求及增加該事業之業務經營彈性，金管會於 107 年 2 月 12 日發布「證券金融事業管理規則」修正條文，放寬證券金融事業發行商業本票取得之資金，得作為辦理本規則第 5 條第 1 項各款業務之資金，例如有價證券擔保放款業務。

二、槓桿交易商從事臺股股權相關之槓桿保證金契約交易，以上市有價證券交割履約，不受證券交易法第 150 條限制之令

金管會於 107 年 3 月 13 日發布 1070100686 令，槓桿交易商依「槓桿交易商管理規則」從事臺股股權相關之槓桿保證金契約交易，因採實物交割履約，而以槓桿交易商避險專戶之上市有價證券交付者，得不受證券交易法第一百五十條規定上市有價證券買賣應於證券交易所開設之有價證券集中交易市場為之之限制。

三、有關我國金融競爭力相關資訊可參考金管會金融競爭力專區網站

(<http://www.fsc.gov.tw/ch/home.jsp?id=146&parentpath=0,8>)

貳、重要指標

截至 2018 年 1 月底止，上市公司計有 910 家，較上月增加 3 家；資本額新臺幣 71,401.6 億元，較上月增加新臺幣 39.7 億元；上市市值新臺幣 332,773.0 億元，較上月增加新臺幣 14,453.6 億元。

上櫃公司計有 750 家，較上月增加 6 家；資本額新臺幣 7,242.9 億元，較上月增加新臺幣 19.3 億元；上櫃市值新臺幣 34,320.4 億元，較上月增加新臺幣 1,150 億元。

集中市場股票總成交值新臺幣 28,048.6 億元，較上月增加新臺幣 5,205.8 億元；成交量 661.3 億股，較上月增加 139.6 億股。外資總累積匯入淨額 2,132.47 億美元，較上月增加 51.92 億美元。

專營證券商計 65 家，專營期貨商計 15 家，證券投資信託公司計 39 家，證券投資顧問公司計 84 家。

參、交易人從事期貨交易相關措施請參考臺灣期貨交易所網站

<http://www.taifex.com.tw/chinese/home.htm>

肆、外資投資相關問答集請參考證券期貨局網站

<http://www.sfb.gov.tw/ch/home.jsp?id=30&parentpath=0,6>

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