



I. Important Announcements ([Chinese](#))

1. Issuance of the “Scope of mandatorily adoption of candidate nomination system for the election of directors and supervisors”

To make it easier for shareholders to exercise their voting rights to realize shareholder activism and improve corporate governance, authorized by the proviso to Paragraph 1 of Article 192-1 and Paragraph 1 of Article 216-1 of Company Act, on April 25, 2019, the FSC announced the requirements that the candidate nomination system shall be adopted for the election of directors and supervisors of all TWSE and TPEx listed companies from January 1, 2021. Furthermore, the Articles of Incorporation of a company shall state that the shareholders shall elect the directors and supervisors among the nominees listed in the roster of candidates.

2. Assessment Program Launched to Evaluate the Implementation of the Treating Customers Fairly Principles by Financial Service Enterprises

To encourage financial services enterprises to value the Treating Customers Fairly (TCF) Principles and to help enterprises get a better picture of how they are doing in implementing the Principles, the FSC launched the Financial Service Enterprises Treating Customers Fairly Principles Assessment Program starting from 2019. The assessee in the first stage include banks, insurance companies, and 31 full-service securities firms.

The assessment program includes assessing the enterprises' implementation of the nine TCF Principles and whether the enterprises' boards of directors value the principles and take specific actions accordingly. The FSC required the enterprises to complete self-evaluations and submit the result by the end of April 2019 to the FSC, and then the FSC reevaluated the submitted result. The FSC expects that the assessment program will promote a deep-rooted business culture of treating customers fairly, and will encourage financial institutions to value the TCF Principles and ensure the equitable, reasonable, and fair treatment of customers during all stages of their transactions, so that the protections of financial consumers can be further fulfilled and enhanced.

3. Information on the competitiveness of Taiwan’s financial industry is available online at :

<https://www.fsc.gov.tw/en/home.jsp?id=27&parentpath=0,6>

II. Market Wrap-up

As of the end of April 2019, the total number of TWSE listed companies stood at 934, an increase of 2 over the preceding month. Authorized capital was NT\$ 7,159.7 billion, an increase of NT\$ 1.77 billion over the preceding month. Market capitalization was NT\$ 33,106.56 billion, an increase over the preceding month of NT\$ 980 billion.

There were 770 TPEX listed companies, the same as the preceding month. Authorized capital was NT\$ 738.74 billion, an increase of NT\$ 0.031 billion from the preceding month. Market capitalization was NT\$ 3,208.31 billion, an increase of NT\$ 7.241 billion from the preceding month.

The total value of stock trades on the centralized market was NT\$ 2,389.28 billion, an increase of NT\$ 361.69 billion from the preceding month. The volume of trading was 48.58 billion shares, an increase of 5.36 billion from the preceding month. The net value of inwardly remitted foreign funds was US\$ 209.583 billion, an increase of US\$ 3.753 billion from the preceding month.

There were a total of 63 securities firms. There were 15 enterprises exclusively engaged in futures commission merchant business, 39 securities investment trust companies, and 82 securities investment consulting companies.

III. Measures related to futures trading, please refer to the website of Taiwan Futures Exchange :

<http://www.taifex.com.tw/enl/eIndex>

IV. For frequently asked questions and answers related to investment by foreign investors, please refer to the website of the Securities and Futures Bureau :

<https://www.sfb.gov.tw/en/home.jsp?id=26&parentpath=0,9>

Note: If you expect to receive this newsletter, or have your name deleted from the sending list, or have your email information changed, please send to newsletter1 newsletter1@sfb.gov.tw. If you hope to know more about the Taiwan's securities and futures markets, please surf the websites of [Securities and Futures Bureau](#), [Taiwan Stock Exchange](#), [Taiwan Futures Exchange](#), [Taipei Exchange](#), [Taiwan Depository & Clearing Corporation](#).

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壹、重要公告

一、訂定「強制董事及監察人選舉應採候選人提名制度之適用範圍」

為便利股東行使選舉權以落實股東行動主義並提升公司治理，金管會依公司法第 192 條之 1 第 1 項但書及第 216 條之 1 第 1 項之規定，於 108 年 4 月 25 日發布命令規範自 110 年 1 月 1 日起，全體上市（櫃）公司董事及監察人選舉應採候選人提名制度，並載明於章程，股東應就董事及監察人候選人名單中選任之。

二、推動金融服務業公平待客原則評核機制

為利金融服務業重視並落實公平待客原則，及為協助業者瞭解自身落實情形，金管會自 108 年起實施「金融服務業公平待客原則評核機制」，第一階段之受評對象包括銀行、產壽險公司外及 31 家綜合證券商。

評核內容包括評估落實執行公平待客九項原則之情形及「董事會推動之重視及具體作為」，受評金融機構應於 108 年 4 月底前函報公司自評情形，再由主管機關進行覆評。金管會期藉由評核過程，根植業者公平待客原則之企業文化，並促使金融機構重視及確保客戶在整體交易過程中受到對等、合理的公平對待，進而落實與提升金融消費者保護。

三、有關我國金融競爭力相關資訊可參考金管會金融競爭力專區網站

<http://www.fsc.gov.tw/ch/home.jsp?id=146&parentpath=0,8>

貳、重要指標

截至 2019 年 4 月底止，上市公司計有 934 家，較上月增加 2 家；資本額新臺幣 71,597 億元，較上月增加新臺幣 17.7 億元；上市市值新臺幣 331,065.6 億元，較上月增加新臺幣 9,800 億元。

上櫃公司計有 770 家，與上月家數相同；資本額新臺幣 7,387.4 億元，較上月增加新臺幣 0.31 億元；上櫃市值新臺幣 32,083.1 億元，較上月增加新臺幣 72.41 億元。

集中市場股票總成交值新臺幣 23,892.8 億元，較上月增加新臺幣 3,616.9 億元；成交量 485.8 億股，較上月增加 53.6 億股。外資總累積匯入淨額 2,095.83 億美元，較上月增加 37.53 億美元。

專營證券商計 63 家，專營期貨商計 15 家，證券投資信託公司計 39 家，證券投資顧問公司計 82 家。

參、交易人從事期貨交易相關措施請參考臺灣期貨交易所網站

<http://www.taifex.com.tw/cht/index>

肆、外資投資相關問答集請參考證券期貨局網站

<http://www.sfb.gov.tw/ch/home.jsp?id=30&parentpath=0,6>

2019-5 Updated