



## I. Important Announcements ([Chinese](#))

### 1. Domestic futures commission merchants (FCMs) are allowed to conduct foreign futures commission services through indirect engagements with foreign FCMs

In order to enhance business efficiency in foreign futures re-brokerage services, the FSC promulgated Order No.1080321267 on August 19, 2019 which stipulates that the domestic futures commission merchant (FCM) is allowed to conduct trades on a foreign futures exchange through direct business engagement with the foreign futures merchant's worldwide group affiliates. The group affiliates include the holding company by which it is controlled (where such holding company holds more than 50 percent of the merchant's shares), a subsidiary in which the merchant holds more than 50 percent of the shares, or another subsidiary of the same holding company by which the merchant is controlled (where such more than 50 percent of the shares in such other subsidiary are held by the merchant's holding company), provided that the said controlled company and subsidiaries are clearing members of the relevant foreign futures exchange.

### 2. Futures Dealers Exempted from Ceiling on Trading in Futures listed on any Single Foreign Exchange

To facilitate the use of futures dealers' funds and prevent concentration risks, the FSC announced Order No. 1080320960 on 24 September 2019, stipulating that futures dealers are exempted from the 10% restriction on trading in futures listed on any single foreign exchange, and stipulating that futures dealers trading in futures linked to the securities issued by any single issuer shouldn't exceed 5% of their net worth.

### 3. Information on the competitiveness of Taiwan's financial industry is available online at :

<https://www.fsc.gov.tw/en/home.jsp?id=27&parentpath=0,6>

## II. Market Wrap-up

As of the end of August 2019, the total number of TWSE listed companies stood at 932, the same as the preceding month. Authorized capital was NT\$7,149.43 billion, an increase of NT\$41.73 billion over the preceding month. Market capitalization was

NT\$32,117.2 billion, a decrease from the preceding month of NT\$589.26 billion.

There were 774 TPEX listed companies, a decrease of 1 from the preceding month. Authorized capital was NT\$752.47 billion, a decrease of NT\$7.06 billion from the preceding month. Market capitalization was NT\$3,192.47 billion, a decrease of NT\$60.5 billion from the preceding month.

The total value of stock trades on the centralized market was NT\$2,390.89 billion, a decrease of NT\$74.76 billion from the preceding month. The volume of trading was 48.93 billion shares, a decrease of 1.16 billion from the preceding month. The net value of inwardly remitted foreign funds was US\$199.547 billion, a decrease of US\$6.487 billion from the preceding month.

There were a total of 63 securities firms. There were 15 enterprises exclusively engaged in futures commission merchant business, 39 securities investment trust companies, and 83 securities investment consulting companies.

### **III. Measures related to futures trading, please refer to the website of Taiwan Futures Exchange :**

<http://www.taifex.com.tw/enl/eIndex>

### **IV. For frequently asked questions and answers related to investment by foreign investors, please refer to the website of the Securities and Futures Bureau :**

<https://www.sfb.gov.tw/en/home.jsp?id=26&parentpath=0,9>

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#### 壹、重要公告

##### 一、放寬本國期貨商採間接委託方式經營國外期貨交易業務

為提高本國期貨商經營國外期貨交易業務之經營效能，金管會於 108 年 8 月 19 日發布金管證期字第 1080321267 號令，放寬本國期貨商得直接委託金管會已公告開放之國外期貨交易所結算會員，或間接委託該結算會員具有國外期貨交易所結算會員資格之關聯公司。所稱關聯公司，係指所屬持股逾百分之五十之控股公司，或持股逾百分之五十之子公司，或屬同一控股公司持股逾百分之五十之子公司。

##### 二、放寬期貨自營商從事任一國外交易所期貨交易之金額占其淨值之比率限制

為因應期貨自營商資金運用效率及避免風險過度集中，金管會於 108 年 9 月 24 日發布金管證期字第 1080320960 號令，放寬期貨自營商從事任一國外交易所期貨交易不受其淨值百分之十之限制，並規定期貨自營商從事衍生自任一發行公司所發行有價證券之期貨交易金額，不得超過其淨值百分之五。

##### 三、有關我國金融競爭力相關資訊可參考金管會金融競爭力專區網站

<http://www.fsc.gov.tw/ch/home.jsp?id=146&parentpath=0,8>

#### 貳、重要指標

截至 2019 年 8 月底止，上市公司計有 932 家，與上月家數相同；資本額新臺幣 71,494.3 億元，較上月增加新臺幣 417.3 億元；上市市值新臺幣 321,172 億元，較上月減少新臺幣 5,892.6 億元。

上櫃公司計有 774 家，較上月減少 1 家；資本額新臺幣 7,524.7 億元，較上月減少新臺幣 70.6 億元；上櫃市值新臺幣 31,924.7 億元，較上月減少新臺幣 605 億元。

集中市場股票總成交值新臺幣 23,908.9 億元，較上月減少新臺幣 747.6 億元；成交量 489.3 億股，較上月減少 11.6 億股。外資總累積匯入淨額 1,995.47 億

美元，較上月減少 64.87 億美元。

專營證券商計 63 家，專營期貨商計 15 家，證券投資信託公司計 39 家，證券投資顧問公司計 83 家。

參、交易人從事期貨交易相關措施請參考臺灣期貨交易所網站

<http://www.taifex.com.tw/cht/index>

肆、外資投資相關問答集請參考證券期貨局網站

<http://www.sfb.gov.tw/ch/home.jsp?id=30&parentpath=0,6>

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