



## I. Important Announcements ([Chinese](#))

### 1. FSC Issues Order Regarding Articles 23, 39, and 41-1 of the Standards Governing the Establishment of Securities Firms as well as Articles 18-1, 51, and 52 of the Regulations Governing Securities Firms

The Financial Supervisory Commission promulgated Order No. 1080360078 on 18 September 2019, stipulating that a securities firm applying for new businesses, establishing new branch, or investing in domestic or foreign enterprises shall fulfill the Information Security Self-Assessment Form, which is now the required application document.

### 2. Information on the competitiveness of Taiwan's financial industry is available online at :

<https://www.fsc.gov.tw/en/home.jsp?id=27&parentpath=0,6>

## II. Market Wrap-up

As of the end of September 2019, the total number of TWSE listed companies stood at 936, an increase of 4 over the preceding month. Authorized capital was NT\$7,149.14 billion, a decrease of NT\$290 million from the preceding month. Market capitalization was NT\$32,784.56 billion, an increase of NT\$667.36 billion over the preceding month.

There were 775 TPEX listed companies, an increase of 1 over the preceding month. Authorized capital was NT\$752.06 billion, a decrease of NT\$415 million from the preceding month. Market capitalization was NT\$3,223.94 billion, an increase of NT\$31.474 billion over the preceding month.

The total value of stock trades on the centralized market was NT\$2,081.13 billion, a decrease of NT\$309.76 billion from the preceding month. The volume of trading was 41.79 billion shares, a decrease of 7.14 billion from the preceding month. The net value of inwardly remitted foreign funds was US\$202.533 billion, an increase of US\$2.986 billion over the preceding month.

There were a total of 63 securities firms. There were 15 enterprises exclusively

engaged in futures commission merchant business, 39 securities investment trust companies, and 83 securities investment consulting companies.

**III. Measures related to futures trading, please refer to the website of Taiwan Futures Exchange :**

<http://www.taifex.com.tw/enl/eIndex>

**IV. For frequently asked questions and answers related to investment by foreign investors, please refer to the website of the Securities and Futures Bureau :**

<https://www.sfb.gov.tw/en/home.jsp?id=26&parentpath=0,9>

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### 金管會證期局第一百八十三期新聞信

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#### 壹、重要公告

##### 一、訂定有關證券商設置標準第 23 條、第 39 條、第 41 條之 1 及證券商管理規則第 18 條之 1、第 51 條、第 52 條規定之令

本會於 108 年 9 月 18 日訂定金管證券字第 1080360078 號令，規定證券商申請增加業務種類、增加營業項目、設置分支機構及轉投資國內外事業等事項，申請書件應包括「證券商申請增加業務種類、增加營業項目、設置分支機構及轉投資國內外事業資訊安全自評表」。

##### 二、有關我國金融競爭力相關資訊可參考金管會金融競爭力專區網站

<http://www.fsc.gov.tw/ch/home.jsp?id=146&parentpath=0,8>

#### 貳、重要指標

截至 2019 年 9 月底止，上市公司計有 936 家，較上月增加 4 家；資本額新臺幣 71,491.4 億元，較上月減少新臺幣 2.9 億元；上市市值新臺幣 327,845.6 億元，較上月增加新臺幣 6673.6 億元。

上櫃公司計有 775 家，較上月增加 1 家；資本額新臺幣 7,520.6 億元，較上月減少新臺幣 4.15 億元；上櫃市值新臺幣 32,239.4 億元，較上月增加新臺幣 314.74 億元。

集中市場股票總成交值新臺幣 20,811.3 億元，較上月減少新臺幣 3,097.6 億元；成交量 417.9 億股，較上月減少 71.4 億股。外資總累積匯入淨額 2,025.33 億美元，較上月增加 29.86 億美元。

專營證券商計 63 家，專營期貨商計 15 家，證券投資信託公司計 39 家，證券投資顧問公司計 83 家。

##### 參、交易人從事期貨交易相關措施請參考臺灣期貨交易所網站

<http://www.taifex.com.tw/cht/index>

##### 肆、外資投資相關問答集請參考證券期貨局網站

<http://www.sfb.gov.tw/ch/home.jsp?id=30&parentpath=0,6>

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