



## I. Important Announcements ([Chinese](#))

### 1. Amended Articles 3, 5, and 10 of the “Regulations Governing CPA Continuing Professional Education,” Making Coursework on Anti-Money-Laundering and Countering-Terrorism-Financing Laws and Practices a Requirement of CPA Continuing Professional Education:

To improve the competence of CPAs and the quality of their professional services, and to increase all CPAs’ understanding of the operation and scope of anti-money-laundering and countering-terrorism-financing laws and practices, on 23 December 2019 the FSC announced amendments to the “Regulations Governing CPA Continuing Professional Education” requiring hereafter that a CPA who has obtained a practice registration shall take part in a minimum of three hours per year of continuing professional education on anti-money-laundering and countering-terrorism-financing laws and practices. This amendment took effect on 1 January 2020.

### 2. Information on the competitiveness of Taiwan’s financial industry is available online at:

<https://www.fsc.gov.tw/en/home.jsp?id=6&parentpath=0>

## II. Market Wrap-up

As of the end of December 2019, the total number of TWSE listed companies stood at 942, an increase of 5 over the preceding month. Authorized capital was NT\$7,155.64 billion, an increase of NT\$9.22 billion from the preceding month. Market capitalization was NT\$36,413.52 billion, an increase of NT\$1,604.38 billion over the preceding month.

There were 775 TPEX listed companies, the same as the preceding month. Authorized capital was NT\$746.66 billion, a decrease of NT\$3.24 billion from the preceding month. Market capitalization was NT\$3,433.53 billion, an increase of NT\$95.77 billion over the preceding month.

The total value of stock trades on the centralized market was NT\$2,602.86 billion, an increase of NT\$33.04 billion over the preceding month. The volume of trading was 48.52 billion shares, an increase of 0.98 billion over the preceding month. The net value

of inwardly remitted foreign funds was US\$213.6 billion, an increase of US\$3.838 billion over the preceding month.

There were a total of 63 securities firms. There were 15 enterprises exclusively engaged in futures commission merchant business, 39 securities investment trust companies, and 84 securities investment consulting companies.

**III. For measures related to futures trading, please refer to the website of the Taiwan Futures Exchange:**

<https://www.taifex.com.tw/en/eIndex>

**IV. For frequently asked questions and answers related to investment by foreign investors, please refer to the website of the Securities and Futures Bureau:**

<https://www.sfb.gov.tw/en/home.jsp?id=26&parentpath=0,9>

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Newsletter

Securities and Futures Bureau  
Financial Supervisory Commission, R.O.C.



## 金管會證期局第一百八十六期新聞信

[top](#)

### 壹、重要公告

- 一、修正「會計師持續專業進修辦法」第 3 條、第 5 條、第 10 條，增列洗錢防制及打擊資恐相關法規與實務為會計師持續專業進修之必修課程：

為提升會計師專業服務品質及能力，增進所有會計師對於洗錢防制及打擊資恐規範與作業之瞭解，本會於 108 年 12 月 23 日修正發布旨揭辦法，凡取得執業登記之會計師每年均應參加洗錢防制及打擊資恐相關法規與實務專業進修至少三小時，並自 109 年 1 月 1 日施行。

- 二、有關我國金融競爭力相關資訊可參考金管會金融競爭力專區網站：

<http://www.fsc.gov.tw/ch/home.jsp?id=146&parentpath=0,8>

### 貳、重要指標

截至 2019 年 12 月底止，上市公司計有 942 家，較上月增加 5 家；資本額新臺幣 71,556.4 億元，較上月增加新臺幣 92.2 億元；上市市值新臺幣 364,135.2 億元，較上月增加新臺幣 16,043.8 億元。

上櫃公司計有 775 家，與上月家數相同；資本額新臺幣 7,466.6 億元，較上月減少新臺幣 32.4 億元；上櫃市值新臺幣 34,335.3 億元，較上月增加新臺幣 957.7 億元。

集中市場股票總成交值新臺幣 26,028.6 億元，較上月增加新臺幣 330.4 億元；成交量 485.2 億股，較上月增加 9.8 億股。外資總累積匯入淨額 2,136 億美元，較上月增加 38.38 億美元。

專營證券商計 63 家，專營期貨商計 15 家，證券投資信託公司計 39 家，證券投資顧問公司計 84 家。

- 參、交易人從事期貨交易相關措施請參考臺灣期貨交易所網站

<http://www.taifex.com.tw/cht/index>

- 肆、外資投資相關問答集請參考證券期貨局網站

<http://www.sfb.gov.tw/ch/home.jsp?id=30&parentpath=0,6>

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