Newsletter No: 263	May, 2026

I. . Important Announcements

FSC Issues Order under Article 16, Paragraph 3 of the Regulations Governing the Conduct of Discretionary Investment Business by Securities Investment Trust Enterprises and Securities Investment Consulting Enterprises to Relax Counterparty Restrictions on OTC Negotiated Transactions under Discretionary Investment Mandates

On 13 May 2026, the Financial Supervisory Commission (FSC) issued an order under Article 16, Paragraph 3 of the Regulations Governing the Conduct of Discretionary Investment Business by Securities Investment Trust Enterprises and Securities Investment Consulting Enterprises, relaxing the restrictions on counterparties to over-the-counter (OTC) negotiated transactions conducted under discretionary investment mandates. Under the order, where a discretionary investment enterprise is entrusted by a professional institutional investor or a high-net-worth corporate investor and has obtained the client's consent, it may engage in securities-related OTC negotiated transactions using entrusted assets without being subject to the restriction that the counterparty may not be an interested party. Where a discretionary investment enterprise conducts negotiated transactions with an interested party using entrusted assets, the client's written consent or contractual agreement shall be obtained. Relevant regulations are set forth in the Operating Rules Governing Discretionary Investment Business Conducted by Securities Investment Trust Enterprises and Securities Investment Consulting Enterprises issued by the Securities Investment Trust and Consulting Association of the Republic of China (SITCA).

II. Information on the competitiveness of Taiwan's financial industry is available online at: https://www.fsc.gov.tw/en/home.jsp?id=6&parentpath=0,8

III. Market Wrap-up

As of the end of **May 2026,** the total number of TWSE-listed companies stood at **1,080**, an increase of **6** over the preceding month. Their total paid-in capital amounted to **NT$7.93 trillion**, an increase of **NT$9.7 billion** from the previous month, while the total market capitalization stood at **NT$145.91 trillion**, a decrease of **NT$18.96 trillion** compared with the previous month.

There were **888 TPEx-listed (OTC) companies**, an increase of **4** from the previous month. Their total paid-in capital amounted to **NT$835.4 billion**, an increase of **NT$1.82 billion** from the previous month, and their total market capitalization stood at **NT$12.1 trillion**, an increase of **NT$1.64 trillion** compared with the previous month.

The total trading value in the centralized market amounted to **NT$24.33 trillion**, an increase of **NT$6.82 trillion** from the previous month. The total trading volume was **165 billion** shares, an increase of **40.98 billion** shares compared with the previous month. The net cumulative inward remittance of foreign funds reached **US$385.31 billion**, a decrease of **US$20.88 billion** from the previous month.

There were **62** securities firms, **14** futures commission merchants, **36** securities investment trust companies, and **89** securities investment consulting companies.

IV. For measures related to futures trading, please refer to the website of the Taiwan Futures Exchange: https://www.taifex.com.tw/enl/eIndex

V. For frequently asked questions and answers related to investment by foreign investors, please refer to the website of the Securities and Futures Bureau: https://www.sfb.gov.tw/en/home.jsp?id=26&parentpath=0,9

Newsletter

Securities and Futures Bureau
Financial Supervisory Commission, R.O.C.

金管會證期局第二百六十三期新聞信

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壹、 重要公告

1. 發布證券投資信託事業證券投資顧問事業經營全權委託投資業務管理辦法第16條第3項有關放寬全權委託店頭市場議價商品交易對手之令

金管會於 2026 年 5 月 13 日修正發布證券投資信託事業證券投資顧問事業經營全權委託投資業務管理辦法第 16 條第 3 項規定之令，放寬全權委託投資業者接受專業投資機構或高淨值投資法人委託且同意，於運用委託投資資產從事以店頭市場議價方式進行證券相關商品交易時，得不受交易對手不得為其利害關係人之限制。全權委託投資業者運用委託投資資產而與有利害關係人從事議價交易時，應經客戶書面同意或契約約定，相關規範明訂於中華民國證券投資信託暨顧問商業同業公會證券投資信託事業證券投資顧問事業經營全權委託投資業務操作辦法。

2. 有關我國金融競爭力相關資訊可參考金管會金融競爭力專區網站

http://www.fsc.gov.tw/ch/home.jsp?id=146&parentpath=0,8

貳、 重要指標

截至 2026 年 5 月底止，上市公司計有 1,080 家，較上月增加 6 家；資本額新臺幣 7.93 兆元，較上月增加新臺幣 97 億元；上市市值新臺幣 145.91 兆元，較上月增加新臺幣 18.96 兆元。

上櫃公司計有 888 家，較上月增加 4 家；資本額新臺幣 8,354 億元，較上月增

加新臺幣 18.2 億元；上櫃市值新臺幣 12.1 兆元，較上月增加新臺幣 1.64 兆元。

集中市場股票總成交值新臺幣 24.33 兆元，較上月增加新臺幣 6.82 兆元；成交量 1,648.4 億股，較上月增加 409.8 億股。外資總累積匯入淨額 3,853.09 億美元，較上月增加 208.77 億美元。

專營證券商計 62 家，專營期貨商計 14 家，證券投資信託公司計 36 家，證券投資顧問公司計 89 家。

參、 交易人從事期貨交易相關措施請參考臺灣期貨交易所網站

http://www.taifex.com.tw/cht/index

肆、 外資投資相關問答集請參考證券期貨局網站

http://www.sfb.gov.tw/ch/home.jsp?id=30&parentpath=0,6

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