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I. Important Announcements (Chinese)

1. Broadened Scope of SICEs' Advisory Services to Professional Institutional Investors with Respect to Overseas Securities

Securities and Futures Bureau Financial Supervisory Commission, R.O.C.

To expand the scope of services that Securities Investment Consulting Enterprises (SICEs) may provide to professional institutional investors, on 15 April 2020, the FSC allowed SICEs to accept advisory mandates from professional institutional investors with respect to overseas bonds and overseas securitized products exempted from credit rating requirements.

2. Information on the competitiveness of Taiwan's financial industry is available online at:

https://www.fsc.gov.tw/en/home.jsp?id=6&parentpath=0

II. Market Wrap-up

As of the end of April 2020, the total number of TWSE listed companies stood at <u>943</u>, an increase of <u>1</u> over the preceding month. Authorized capital was NT\$7,160.37 billion, a decrease of NT\$15.34 billion from the preceding month. Market capitalization was NT\$33,428.35 billion, an increase of NT\$3,911.62 billion over the preceding month.

There were 777 TPEx listed companies, the same as the preceding month. Authorized capital was NT\$748 billion, an increase of NT\$760 million from the preceding month. Market capitalization was NT\$3,183.63 billion, an increase of NT\$461.33 billion over the preceding month.

The total value of stock trades on the centralized market was NT\$3,018.26 billion, a decrease of NT\$673.92 billion over the preceding month. The volume of trading was 60.24 billion shares, a decrease of 17.78 billion shares over the preceding month. The net value of inwardly remitted foreign funds was US\$200.169 billion, a decrease of US\$428 million over the preceding month.

There were a total of 61 securities firms. There were 15 enterprises exclusively

engaged in futures commission merchant business, 39 securities investment trust companies, and 85 securities investment consulting companies.

III. For measures related to futures trading, please refer to the website of the Taiwan Futures Exchange:

https://www.taifex.com.tw/enl/eIndex

IV. For frequently asked questions and answers related to investment by foreign investors, please refer to the website of the Securities and Futures Bureau:

https://www.sfb.gov.tw/en/home.jsp?id=26&parentpath=0,9

Note: If you expect to receive this newsletter, or have your name deleted from the sending list, or have your email information changed, please send to newsletter1 <u>newsletter1@sfb.gov.tw</u>. If you hope to know more about the Taiwan's securities and futures markets, please surf the websites of <u>Securities and Futures Bureau</u>, <u>Taiwan Stock</u> <u>Exchange</u>, <u>Taiwan Futures Exchange</u>, <u>Taipei Exchange</u>, <u>Taiwan Depository & Clearing Corporation</u>.

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金管會證期局第一百九十期新聞信

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- 壹、重要公告
- 一、放寬證券投資顧問事業接受專業機構投資人委任顧問外國有價證券之範圍 為擴大證券投資顧問事業(以下簡稱投顧業者)對專業機構投資人之服務範圍,本 會於109年4月15日放寬投顧業者接受專業機構投資人委任顧問之外國債券及外 國證券化商品範圍,不受信用評等等級限制。
- 二、 有關我國金融競爭力相關資訊可參考金管會金融競爭力專區網站: http://www.fsc.gov.tw/ch/home.jsp?id=146&parentpath=0,8
- 貳、重要指標

截至 2020 年 4 月底止,上市公司計有 943 家,較上月增加 1 家;資本額新臺 幣 71,603.7 億元,較上月減少新臺幣 153.4 億元;上市市值新臺幣 334,283.5 億 元,較上月增加新臺幣 39,116.2 億元。

上櫃公司計有 777 家,與上月相同;資本額新臺幣 7,480 億元,較上月增加新 臺幣 7.6 億元;上櫃市值新臺幣 31,836.3 億元,較上月增加新臺幣 4,613.3 億元。

集中市場股票總成交值新臺幣 30,182.6 億元,較上月減少新臺幣 6,739.2 億 元;成交量 602.4 億股,較上月減少 177.8 億股。外資總累積匯入淨額 2,001.69 億 美元,較上月減少 4.28 億美元。

專營證券商計 61 家,專營期貨商計 15 家,證券投資信託公司計 39 家,證券 投資顧問公司計 85 家。

- 參、交易人從事期貨交易相關措施請參考臺灣期貨交易所網站 <u>http://www.taifex.com.tw/cht/index</u>
- 肆、外資投資相關問答集請參考證券期貨局網站http://www.sfb.gov.tw/ch/home.jsp?id=30&parentpath=0.6

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