



## I. Important Announcements ([Chinese](#))

### 1. Electronic Transmission Allowed as One of the Methods for Voting at Meetings of Securities Investment Trust Fund Beneficiaries

The FSC issued a partial amendment to the Regulations Governing Securities Investment Trust Fund Beneficiaries Meetings on 25 November 2020. Key points of the amended provisions are as follows:

- (1) Parties that have the power to convene meetings of securities investment trust fund beneficiaries are now allowed to list electronic transmission as one of the methods for exercising voting power at the meetings, and are required to indicate the voting methods in meeting notices. To ensure the impartiality and independence of electronic voting, matters related to electronic voting are required to be outsourced for handling by an outside entity.
- (2) For meetings of beneficiaries that are held via written correspondence, in addition to allowing the casting of votes in written form as before, the amended Regulations now additionally provide for the exercise of voting rights by electronic transmission, and set out the method for rescission of expressions of intention and the method for handling redundant expressions of intention.
- (3) For meetings of beneficiaries that are held by attendance in person, in addition to the casting of votes in person or via proxy as before, the amended Regulations now additionally provide for the exercise of voting rights by electronic transmission, and set out the methods for rescission of expressions of intention and for handling of redundant expressions of intention.
- (4) To clarify the procedures for exercise of voting rights by electronic transmission at meetings of beneficiaries, the amended provisions require beneficiaries to make an expression of intention using the format prepared by the convener of the meeting, set a time limit for delivery of an expression of intention, define the legal effect of non-expression of intention, and set out the method for handling electronic voting on extraordinary motions and on amendments to the original motions.

## **2. Electronic Transmission Allowed as One of the Methods for Voting at Meetings of Futures Trust Fund Beneficiaries, Per FSC Order Under Article 97 of the Regulations Governing Futures Trust Funds (Order No. Financial-Supervisory-Securities-Futures-1090365253)**

For voting at meetings of beneficiaries of futures trust funds, in addition to the original methods of casting votes in written form, in person, or via proxy as before, beginning from 30 November 2020, electronic transmission will also be an allowable method for the exercise of voting rights. The introduction of electronic voting will provide beneficiaries with more diverse channels and facilitate the exercise of their voting rights, as well as will enable futures trust funds to convene meetings of beneficiaries at lower cost and more efficiently.

## **3. Information on the competitiveness of Taiwan's financial industry is available online at:**

<https://www.fsc.gov.tw/en/home.jsp?id=6&parentpath=0>

## **II. Market Wrap-up**

As of the end of November 2020, the total number of TWSE listed companies stood at 944, a decrease of 1 over the preceding month. Authorized capital was NT\$ 7,236.5 billion, an increase of NT\$ 1.1 billion over the preceding month. Market capitalization was NT\$ 41,812 billion, an increase of NT\$ 3,565.6 billion over the preceding month.

There were 777 TPEX listed companies, a decrease of 3 over the preceding month. Authorized capital was NT\$ 740.5 billion, a decrease of NT\$ 5 billion from the preceding month. Market capitalization was NT\$ 4,164.2 billion, an increase of NT\$ 349 billion from the preceding month.

The total value of stock trades on the centralized market was NT\$ 4,542.5 billion, an increase of NT\$ 1,375.8 billion from the preceding month. The volume of trading was 90 billion shares, an increase of 22.5 billion shares from the preceding month. The net value of inwardly remitted foreign funds was US\$ 203.975 billion, an increase of US\$ 5.915 billion from the preceding month.

There were a total of 62 securities firms. There were 15 enterprises exclusively engaged in futures commission merchant business, 39 securities investment trust companies, and 85 securities investment consulting companies.

## **III. For measures related to futures trading, please refer to the website of the Taiwan Futures Exchange:**

<https://www.taifex.com.tw/en/eIndex>

**IV. For frequently asked questions and answers related to investment by foreign investors, please refer to the website of the Securities and Futures Bureau:**

<https://www.sfb.gov.tw/en/home.jsp?id=26&parentpath=0,9>

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## 金管會證期局第一百九十七期新聞信

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### 壹、重要公告

#### 一、開放投信基金受益人會議得將電子方式列為表決權行使方式之一：

金管會於 109 年 11 月 25 日修正發布證券投資信託基金受益人會議準則部分條文，修正重點如下：

- (一) 有權召開受益人會議者得將電子方式列為表決權行使方式之一，並應將行使表決權之方法載明開會通知；為確保電子投票之公正性及獨立性，規範電子投票相關事務應委外辦理
- (二) 針對受益人會議以書面方式召開者，明定受益人表決權行使方式，除原定以書面方式行使表決權外，亦得以電子方式行使表決權，並規範意思表示撤銷方式及遇意思表示重複之處理方式。
- (三) 針對受益人會議以親自出席方式召開者，明定受益人表決權行使方式，除原定以親自出席或委託代理人出席等方式行使表決權外，亦得以電子方式行使表決權，並規範意思表示撤銷方式及遇意思表示重複之處理方式。
- (四) 為使受益人會議採電子方式行使表決權之作業更為明確，明定受益人應依召開者所製作之格式為意思表示、意思表示送達期限、未表示意見效果、以電子方式行使表決權就臨時動議及原議案修正之處理方式。

#### 二、修正期貨信託基金管理辦法第九十七條第一項規定之令，開放期貨信託基金受益人會議召開得將電子方式列為表決權行使方式之一。(金管證期字第 1090365253 號函)

期貨信託基金召開受益人會議，受益人原除得以書面方式、親自出席或委託代理人出席等方式行使表決權外，2020 年 11 月 30 日開放亦得採電子方

式行使表決權，以提供基金受益人多元表決權行使管道，便利其行使權利，並協助期貨信託業者改善受益人會議召開的成本及效率

**三、有關我國金融競爭力相關資訊可參考金管會金融競爭力專區網站：**

<http://www.fsc.gov.tw/ch/home.jsp?id=146&parentpath=0,8>

**貳、重要指標**

截至 2020 年 11 月底止，上市公司計有 944 家，較上月減少 1 家；資本額新臺幣 72,365 億元，較上月增加新臺幣 11 億元；上市市值新臺幣 418,120 億元，較上月增加新臺幣 35,656 億元。

上櫃公司計有 777 家，較上月減少 3 家；資本額新臺幣 7,405 億元，較上月減少新臺幣 50 億元；上櫃市值新臺幣 41,642 億元，較上月增加新臺幣 3,490 億元。

集中市場股票總成交值新臺幣 45,425 億元，較上月增加新臺幣 13,758 億元；成交量 900 億股，較上月增加 225 億股。外資總累積匯入淨額 2,039.75 億美元，較上月增加 59.15 億美元。

專營證券商計 62 家，專營期貨商計 15 家，證券投資信託公司計 39 家，證券投資顧問公司計 85 家。

**參、交易人從事期貨交易相關措施請參考臺灣期貨交易所網站**

<http://www.taifex.com.tw/cht/index>

**肆、外資投資相關問答集請參考證券期貨局網站**

<http://www.sfb.gov.tw/ch/home.jsp?id=30&parentpath=0,6>

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