



I. Important Announcements ([Chinese](#))

1. Measures Adopted to Enhance the Quality of Shareholder Services and Increase the Transparency of E-Voting Results

Following up on plans set out in the “Corporate Governance 3.0 - Sustainable Development Roadmap”, the Financial Supervisory Commission (FSC) issued an amendment to the Regulations Governing the Administration of Shareholder Services of Public Companies on 2 March 2021. The amendment introduces measures designed to enhance the quality and neutrality of shareholder services (i.e., registrar and transfer services and shareholders' meeting services) and to increase the transparency of information on e-voting results as follows:

- I. In step with the global trend for companies to outsource their shareholder services to professional institutions (shareholder services agents) and to promote consistency in the application and administration of regulations for entities handling shareholder services, the amended provisions now require that once a TWSE or TPEX listed company or Emerging Stock company has opted to outsource its shareholder services, it may not subsequently revert to handling these services in-house.
- II. The amendment introduces a system for the evaluation of shareholder services institutions. The evaluation work will be implemented on a regular basis by the FSC's appointed institution, Taiwan Depository & Clearing Corporation (TDCC), beginning from 1 January 2022.
- III. To enhance the transparency of information on e-voting results, companies or their shareholder services agents will be required to publicly disclose, by one day prior to the date of a shareholders' meeting, statistics on the outcomes and numbers of votes cast by those shareholders who voted electronically.
- IV. The amendment expressly provides that companies which handle shareholder services via in-house and shareholder services agents, should complete the transfer of shareholder services matters within two months after being imposed a sanction by the FSC. If the company is unable to find an agent willing to take over as the successor for handling of the services, or if the FSC deems necessary, a shareholder services agent

will be appointed by the TDCC to take over the handling of the services.

2. Information on the competitiveness of Taiwan's financial industry is available online at:

<https://www.fsc.gov.tw/en/home.jsp?id=6&parentpath=0>

II. Market Wrap-up

As of the end of February 2021, the total number of TWSE listed companies stood at 947, the same with the preceding month. Authorized capital was NT\$ 7,238.4 billion, an increase of NT\$ 13.2 billion over the preceding month. Market capitalization was NT\$ 48,626.6 billion, an increase over the preceding month of NT\$ 2,496 billion.

There were 787 TPEX listed companies, an increase of 1 over the preceding month. Authorized capital was NT\$ 746.6 billion, an increase of NT\$ 1 billion from the preceding month. Market capitalization was NT\$ 4,646.3 billion, an increase of NT\$ 379.6 billion from the preceding month.

The total value of stock trades on the centralized market was NT\$ 4,291.4 billion, a decrease of NT\$ 2,785.1 billion from the preceding month. The volume of trading was 75.1 billion shares, a decrease of 41.4 billion from the preceding month. The net value of inwardly remitted foreign funds was US\$ 213.4 billion, a decrease of US\$ 0.027 billion from the preceding month.

There were a total of 62 securities firms. There were 15 enterprises exclusively engaged in futures commission merchant business, 39 securities investment trust companies, and 85 securities investment consulting companies.

III. For measures related to futures trading, please refer to the website of the Taiwan Futures Exchange:

<https://www.taifex.com.tw/en/eIndex>

IV. For frequently asked questions and answers related to investment by foreign investors, please refer to the website of the Securities and Futures Bureau:

<https://www.sfb.gov.tw/en/home.jsp?id=26&parentpath=0,9>

Note: If you expect to receive this newsletter, or have your name deleted from the sending list, or have your email information changed, please send to newsletter1 newsletter1@sfb.gov.tw. If you hope to know more about the Taiwan's securities and futures markets, please surf the websites of [Securities and Futures Bureau](#), [Taiwan Stock Exchange](#), [Taiwan Futures Exchange](#), [Taipei Exchange](#), [Taiwan Depository & Clearing Corporation](#).

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壹、重要公告

一、強化股務作業品質及提升電子投票資訊透明度措施：

依據我國「公司治理 3.0-永續發展藍圖」之規劃，金管會於 110 年 3 月 2 日修正發布公開發行股票公司股務處理準則（下稱本準則），明訂強化股務作業之品質與中立性及提升電子投票結果之資訊透明度措施如下：

- (一) 考量股務事務委由專業代辦機構辦理已為國際趨勢，為使股務單位規範之適用及管理趨於一致，明定上市（櫃）及興櫃公司之股務事務委外辦理者，不得收回自辦。
- (二) 明定由本會指定之機構（即臺灣集中保管結算所股份有限公司，下稱集保公司）定期辦理股務機構之評鑑制度，並自 111 年 1 月 1 日施行。
- (三) 為提升電子投票表決結果之資訊透明度，公司或其代辦股務機構應於股東會開會前一日，將股東電子方式出席之股數彙整編造統計表予以公告。
- (四) 明定自辦股務公司或代辦股務機構受本會處分後，應於二個月內完成股務事務移交作業；無代辦股務機構承接或本會認有必要時，由集保公司指定股務機構承接之。

二、有關我國金融競爭力相關資訊可參考金管會金融競爭力專區網站：

<http://www.fsc.gov.tw/ch/home.jsp?id=146&parentpath=0,8>

貳、重要指標

截至 2021 年 2 月底止，上市公司計有 947 家，與上月相同；資本額新臺幣 72,384 億元，較上月增加新臺幣 132 億元；上市市值新臺幣 486,266 億元，較上月

增加新臺幣 24,960 億元。

上櫃公司計有 787 家，較上月增加 1 家；資本額新臺幣 7,466 億元，較上月增加新臺幣 10 億元；上櫃市值新臺幣 46,463 億元，較上月增加新臺幣 3,796 億元。

集中市場股票總成交值新臺幣 42,914 億元，較上月減少新臺幣 27,851 億元；成交量 751 億股，較上月減少 414 億股。外資總累積匯入淨額 2133.89 億美元，較上月減少 0.27 億美元。

專營證券商計 62 家，專營期貨商計 15 家，證券投資信託公司計 39 家，證券投資顧問公司計 85 家。

參、交易人從事期貨交易相關措施請參考臺灣期貨交易所網站

<http://www.taifex.com.tw/cht/index>

肆、外資投資相關問答集請參考證券期貨局網站

<http://www.sfb.gov.tw/ch/home.jsp?id=873&parentpath=0,6>

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