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Newsletter No: 202 April, 2021



- **I. Important Announcements** (Chinese)
- 1. Articles 3 and 6 of the Regulations Governing the Applicable Scope of Special Circumstances for the Public Announcement and Filing of Financial Reports and Operational Status Reports by Public Companies Amended and Issued to Strengthen Supervision of TWSE/TPEx Primary Listed Companies and to Enhance Timeliness of Financial Information Disclosure

To strengthen the supervision of companies primarily listed on the Taiwan Stock Exchange (TWSE) or Taipei Exchange (TPEx), and to promote the timeliness of the disclosure of financial information by TWSE/TPEx listed companies in accordance with the Corporate Governance 3.0 - Sustainable Development Roadmap, the Financial Supervisory Commission (FSC) amended and issued the Regulations Governing the Applicable Scope of Special Circumstances for the Public Announcement and Filing of Financial Reports and Operational Status Reports by Public Companies on 21 April 2021. The amended provisions now require that, starting from 2021, the 2nd quarter financial reports of TWSE/TPEx primary listed companies shall be audited and attested by CPAs (the pre-amendment practices only require CPA review) and be publicly announced and filed within 2 months (extended from the pre-amendment 45 days) after the end of the 2nd quarter. In addition, beginning with the 2023 filing of the 2022 annual financial reports, the annual financial reports of TWSE/TPEx listed companies with paid-in capital of NT\$10 billion or more must be publicly announced and filed within 75 days (shortened from the pre-amendment 3 months) after the end of the fiscal year.

2. Information on the competitiveness of Taiwan's financial industry is available online at:

https://www.fsc.gov.tw/en/home.jsp?id=6&parentpath=0

II. Market Wrap-up

As of the end of April 2021, the total number of TWSE listed companies stood at 951, an increase of 1 over the preceding month. Authorized capital was NT\$ 7,250 billion, an increase of NT\$ 7.5 billion over the preceding month. Market capitalization was NT\$ 53,642 billion, an increase over the preceding month of NT\$ 3,481.6 billion.

There were 787 TPEx listed companies, an increase of 1 over the preceding month. Authorized capital was NT\$ 748.8 billion, an increase of NT\$ 2.4 billion from the preceding month. Market capitalization was NT\$ 5,148.7 billion, an increase of NT\$ 236.1 billion from the preceding month.

The total value of stock trades on the centralized market was NT\$ 8,243.9 billion, an increase of NT\$ 1,497 billion from the preceding month. The volume of trading was 174.4 billion shares, an increase of 48.9 billion from the preceding month. The net value of inwardly remitted foreign funds was US\$ 218.1 billion, an increase of US\$ 5 billion from the preceding month.

There were a total of 61 securities firms. There were 15 enterprises exclusively engaged in futures commission merchant business, 39 securities investment trust companies, and 85 securities investment consulting companies.

III. For measures related to futures trading, please refer to the website of the Taiwan Futures Exchange:

https://www.taifex.com.tw/enl/eIndex

IV. For frequently asked questions and answers related to investment by foreign investors, please refer to the website of the Securities and Futures Bureau:

https://www.sfb.gov.tw/en/home.jsp?id=26&parentpath=0,9

Note: If you expect to receive this newsletter, or have your name deleted from the sending list, or have your email information changed, please send to newsletter1 newsletter1@sfb.gov.tw. If you hope to know more about the Taiwan's securities and futures markets, please surf the websites of Securities and Futures Bureau, Taiwan Futures Exchange, Taiwan Depository & Clearing Corporation.

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壹、重要公告

一、修正發布「公開發行公司財務報告及營運情形公告申報特殊適用範圍辦法」第3條、第6條規定,俾強化第一上市(櫃)公司之監理,及提升財務資訊揭露之及時性

為強化第一上市(櫃)公司之監理,及依據「公司治理 3.0-永續發展藍圖」推動上市(櫃)公司財務資訊揭露之及時性,本會於 110年4月21日修正發布「公開發行公司財務報告及營運情形公告申報特殊適用範圍辦法」,明定自 110 年起第一上市(櫃)公司第 2 季財務報告由會計師核閱改為應經會計師查核簽證,公告申報期限由現行第 2 季終了後 45 日延長為 2 個月;另實收資本額達新臺幣 100 億元以上之上市(櫃)公司,自 112 年公告申報 111 年度財務報告起,其年度財務報告之公告申報期限由現行會計年度終了後 3 個月縮短為 75 日。

二、 有關我國金融競爭力相關資訊可參考金管會金融競爭力專區網站:

http://www.fsc.gov.tw/ch/home.jsp?id=146&parentpath=0,8

貳、重要指標

截至 2021 年 4 月底止,上市公司計有 951 家,較上月增加 1 家;資本額新臺幣 72,500 億元,較上月增加新臺幣 75 億元;上市市值新臺幣 536,420 億元,較上月增加新臺幣 34,816 億元。

上櫃公司計有 787 家,較上月增加 1 家;資本額新臺幣 7,488 億元,較上月增加新臺幣 24 億元;上櫃市值新臺幣 51,487 億元,較上月增加新臺幣 2,361 億元。

集中市場股票總成交值新臺幣 82,438.9 億元,較上月增加新臺幣 14,969.7 億元;成交量 1,743.7 億股,較上月增加 489.3 億股。外資總累積匯入淨額 2,180.66 億美元,較上月增加 50.08 億美元。

專營證券商計 61 家,專營期貨商計 15 家,證券投資信託公司計 39 家,證券

投資顧問公司計85家。

- 參、交易人從事期貨交易相關措施請參考臺灣期貨交易所網站 http://www.taifex.com.tw/cht/index
- 肆、外資投資相關問答集請參考證券期貨局網站 http://www.sfb.gov.tw/ch/home.jsp?id=873&parentpath=0,6

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