



## I. Important Announcements ([Chinese](#))

### 1. Amendments to the Incentive Policy for Onshore Funds to Provide Incentive for Securities Investment Trust Enterprises to Participate in the Policy

To encourage participation by small and medium-sized securities investment trust enterprises ("SITES") and to increase the competitiveness of Taiwan's asset management sector, the Financial Supervisory Commission (FSC), on June 11, 2021, amended the Incentive Policy for Onshore Funds. The amendment allows SITES whose average size of assets under management in the last fiscal year ranked in the bottom three-quarters (small and medium-sized SITES), if they meet the relaxed requirements for the evaluation indicators, to enjoy preferential measures offered under the Policy. These include being allowed to apply for issuance of securities investment trust funds that are not subject to the regulatory limits on the types, scope, and percentage of their securities investment, and being allowed to apply for issuance of ETF feeder funds that may invest in the ETFs already managed by the SITE and without limiting such investment to the ETFs with domestic component securities. These amendments will facilitate small and medium-sized SITES' development of innovative niche funds and will promote the diversification of fund products to satisfy investor demand.

### 2. Implementing the Stock Market Making Mechanisms

In order to invigorate the stock market trading volume and boost the tradability of high-quality low-liquidity stocks, the FSC has overseen the launch of the Stock Market Making Mechanisms by the Taiwan Stock Exchange (TWSE) and the Taipei Exchange (TPEX) for TWSE/TPEX listed stocks. The Stock Market Making Mechanisms formally came online on June 30, 2021. To meet practical operational needs of market makers, the FSC issued three orders on June 4, 2021, exempting market makers from certain restrictions, including exemption from the intraday limit on the sale of borrowed securities which is currently set at 30% of the average daily trading volume of any individual security for the past 30 business days, and exemption from the restriction that borrowed securities may not be sold at a price lower than the closing price of the preceding business day.

### 3. The FSC Issued Order Regarding the Checklists of the Financial Reports and the

## **Index of Financial Reports**

In response to the recent amendment to the Regulations Governing the Preparation of Financial Reports by Securities Issuers, the primary exchange listed foreign companies (KY companies) shall announce audited instead of review second quarter financial reports starting from 2021, and to promote listed companies to publish unaudited self-assessed annual information under the “Corporate Governance 3.0- Sustainable Development Roadmap”, on 2 June 2021 the FSC published ordinance regarding checklist of the annual and quarterly financial reports, and rescinded Order No. Financial-Supervisory- Securities-Auditing-1080302723 issued on 14 February 2019.

### **4. Information on the competitiveness of Taiwan’s financial industry is available online at:**

<https://www.fsc.gov.tw/en/home.jsp?id=6&parentpath=0>

## **II. Market Wrap-up**

As of the end of June 2021, the total number of TWSE listed companies stood at 953, an increase of 0 over the preceding month. Authorized capital was NT\$ 7,267.3 billion, an increase of NT\$ 8.8 billion over the preceding month. Market capitalization was NT\$ 54,314.2 billion, an increase over the preceding month of NT\$ 2,164 billion.

There were 787 TPEX listed companies, the same as the preceding month. Authorized capital was NT\$ 750 billion, an increase of NT\$ 1 billion from the preceding month. Market capitalization was NT\$ 5,153.9 billion, an increase of NT\$ 300.2 billion from the preceding month.

The total value of stock trades on the centralized market was NT\$ 9,871.43 billion, a decrease of NT\$ 791.15 billion from the preceding month. The volume of trading was 159.03 billion shares, a decrease of 39.72 billion from the preceding month. The net value of inwardly remitted foreign funds was US\$ 219.421 billion, a decrease of US\$ 1.915 billion from the preceding month.

There were a total of 61 securities firms. There were 15 enterprises exclusively engaged in futures commission merchant business, 39 securities investment trust companies, and 86 securities investment consulting companies.

### **III. For measures related to futures trading, please refer to the website of the Taiwan Futures Exchange:**

<https://www.taifex.com.tw/en/eIndex>

### **IV. For frequently asked questions and answers related to investment by foreign investors, please refer to the website of the Securities and Futures Bureau:**

<https://www.sfb.gov.tw/en/home.jsp?id=26&parentpath=0,9>

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### 金管會證期局第二百零四期新聞信

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#### 壹、重要公告

##### 一、修正放寬「鼓勵投信躍進計畫」，提高投信事業參與意願

為促使中小型投信業者積極參與，提升我國資產管理事業競爭力，金管會於 110 年 6 月 11 日修正鼓勵投信躍進計畫，增訂最近一年度平均資產管理規模整體排名後四分之三之投信事業(中小型投信)，若符合放寬後之評估指標標準及面向數，可取得基本優惠措施，包括得向本會申請核准募集投資種類、範圍及比率不受現行相關規定限制之基金，以及得申請募集 ETF 連結基金且所投資之主基金不以國內成分證券 ETF 為限，以利中小型投信業者發展具利基之創新基金，亦有助於基金產品多元化以滿足投資人之需求。

##### 二、實施股票造市者制度

本會為活絡股市量能及提振優質低流動性股票之交易性，督導證交所及櫃買中心推動上市上櫃股票造市者制度，於 110 年 6 月 30 日上線實施。為因應股票造市者實務作業需求，爰於 110 年 6 月 4 日發布 3 令放寬股票造市者得不受現行盤中借券賣出額度每日不得逾個別有價證券前 30 營業日平均成交量 30%、借券賣出價格不得低於前一營業日收盤價等限制。

##### 三、發布公開發行公司財務報告公告申報檢查表及財務報告目錄之令

配合近期證券發行人財務報告編製準則修正及第一上市(櫃)公司 110 年第 2 季財務報告由核閱改為查核，暨推動上市(櫃)公司依「公司治理 3.0-永續發展藍圖」分階段申報自結財務資訊之配套措施，金管會爰於 110 年 6 月 2 日修正發布「公開發行公司年度財務報告公告申報檢查表」、「公開發行公司第一、二、三季財務報告公告申報檢查表」、「第一上市(櫃)公司年度(半年度、季)財務報告公告申報檢查表」，並廢止 108 年 2 月 14 日金管證審字第 1080302723 號令。

四、有關我國金融競爭力相關資訊可參考金管會金融競爭力專區網站：

<http://www.fsc.gov.tw/ch/home.jsp?id=146&parentpath=0,8>

#### 貳、重要指標

截至 2021 年 6 月底止，上市公司計有 953 家，較上月增加 0 家；資本額新臺幣 72,673 億元，較上月增加新臺幣 88 億元；上市市值新臺幣 543,142 億元，較上月增加新臺幣 21,640 億元。

上櫃公司計有 787 家，較上月增加 0 家；資本額新臺幣 7,500 億元，較上月增加新臺幣 10 億元；上櫃市值新臺幣 51,539 億元，較上月增加新臺幣 3,002 億元。

集中市場股票總成交值新臺幣 98,714.3 億元，較上月減少新臺幣 7,911.5 億元；成交量 1,590.3 億股，較上月減少 397.2 億股。外資總累積匯入淨額 2,194.21 億美元，較上月減少 19.15 億美元。

專營證券商計 61 家，專營期貨商計 15 家，證券投資信託公司計 39 家，證券投資顧問公司計 86 家。

參、交易人從事期貨交易相關措施請參考臺灣期貨交易所網站

<http://www.taifex.com.tw/cht/index>

肆、外資投資相關問答集請參考證券期貨局網站

<http://www.sfb.gov.tw/ch/home.jsp?id=873&parentpath=0,6>

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