



## I. Important Announcements (Chinese)

### 1. The Securities and Futures Firms May Conduct Information Sharing to Provide Customer Convenience and Increase Operational Efficiency (28 April 2022)

To respond to fintech development, provide customers with greater convenience, bring about better risk control by financial institutions, and spur increased cooperation among financial institutions, the Financial Supervisory Commission (FSC) on 23 December 2021 adopted and implemented the Guidelines for Information Sharing Among Financial Institutions (hereinafter, the Guidelines), setting out the information sharing mechanism among financial institutions. In accordance with information security principles, the Guidelines encourage the legal and reasonable use of customer information, facilitate customer trading, reduce institutions' increase of operational costs resulting from duplication and protection of customer information, and enhance efficiency and synergistic benefits.

Considering that securities and futures regulations require that customer information be kept confidential and not disclosed, and to provide regulatory clarity for securities and futures **business** to share information with other financial institutions for making reasonable use of customer information and protect the rights and interests of investors, the FSC announced an order on 20 January 2022 to allow these firms to conduct information sharing with stipulation of relevant management rules and application procedures. To conduct information sharing among financial institutions, a securities **and** futures **business** must establish internal control rules with approval by the board of directors. Regarding the scope and procedure of information sharing, the securities **and** futures **business** must obtain customers' prior consent and post its privacy policies on the website, and carry out in accordance with the Personal Data Protection Act and the Guidelines, except as otherwise allowed by other legislation. To share information with other institutions that are not part of the same financial group, a securities **and** futures **business** must apply its first case to the FSC for approval. The firm's cases are to be controlled in accordance with its sharing model after the approval of the first case. For models not exceeding the scope of all those already approved by the FSC, the firm need only to file to the Taiwan Securities Association or the Chinese National Futures Association for recordation.

The sharing and reasonable use of information is of core importance to digital development. The FSC will continue to observe the types and benefits of securities and futures **business**' sharing of customer information in order to accommodate the need for cross-sector, cross-disciplinary and diversified financial scenes development of financial technologies, create data value, enhance the competitiveness of Taiwan's securities and futures industry, and protect the rights and interests of investors.

**2. Information on the competitiveness of Taiwan's financial industry is available online at:**

<https://www.fsc.gov.tw/en/home.jsp?id=6&parentpath=0>

**II. Market Wrap-up**

As of the end of April 2022, the total number of TWSE-listed companies stood at 963, the same as the preceding month. Authorized capital was NT\$ 7,412 billion, an increase of NT\$ 9.5 billion over the preceding month. Market capitalization was NT\$ 51,493.1 billion, a decrease of NT\$ 3,402.4 billion over the preceding month.

There were 796 TPEX-listed companies, an increase of 2 over the preceding month. Authorized capital was NT\$ 764.5 billion, an increase of NT\$ 0.5 billion over the preceding month. Market capitalization was NT\$ 4,748.8 billion, a decrease of NT\$ 557.1 billion over the preceding month.

The total trading value in the centralized stock market was NT\$ 4,861.3 billion, a decrease of NT\$ 2,260 billion over the preceding month. The trading volume was 79 billion shares, a decrease of 17.7 billion over the preceding month. The net value of inwardly remitted foreign funds was US\$ 223.2 billion, a decrease of US\$ 4.7 billion over the preceding month.

There were 62 securities firms, 15 futures commission merchants, 39 securities investment trust companies, and 86 securities investment consulting companies.

**III. For measures related to futures trading, please refer to the website of the Taiwan Futures Exchange:**

<https://www.taifex.com.tw/en/eIndex>

**IV. For frequently asked questions and answers related to investment by foreign investors, please refer to the website of the Securities and Futures Bureau:**

<https://www.sfb.gov.tw/en/home.jsp?id=26&parentpath=0,9>

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#### 壹、重要公告

##### 一、公告「證券期貨業得辦理資料共享，以便利客戶及提升經營效能」(111年4月28日)

為因應金融科技發展，提升消費者便利性、強化金融機構風險控管及促進金融機構間跨業合作，金融監督管理委員會（下稱金管會）已於110年12月23日訂定「金融機構間資料共享指引」並即實施，明確揭示金融機構跨機構間資料共享機制，在資訊安全之原則下促進客戶資料之合法、合理利用，提升客戶交易之便利性，減少各機構因重複建置與維護客戶資料所增加之營運成本，提升效率、發揮加乘效益。

考量證券期貨業法規對於客戶資料訂有應予保密、不得洩漏之規定，配合前揭政策揭示，為利證券期貨業有明確之依循與規範得辦理金融機構間資料共享，以合理運用客戶資料及保障投資人權益，本會已於111年1月20日發布令，明定證券期貨業得辦理資料共享及相關管理規範與申請程序。證券期貨業辦理金融機構間資料共享，應建立內部控制規範經董事會通過，其資料共享範圍及程序，除依其他法令規定得共享者從其規定外，應事先取得客戶同意並於公司網站揭露隱私權政策，並依個人資料保護法及遵循本會「金融機構間資料共享指引」辦理；證券期貨業與未具集團關係之他金融機構間進行資料共享，首案應向本會申請核准，首案核准以後，按資料共享模式控管，未逾越市場上所有前經本會核准之共享案例模式者，僅須向證券期貨業公會申報備查。

資料共享合理使用是數位發展的核心，金管會將持續觀察證券期貨業辦理客戶資料共享之態樣與效益，以因應金融科技跨業及多元金融場景之發展需要，創造數據價值，提升我國證券期貨業競爭力並保障投資人權益。

##### 二、有關我國金融競爭力相關資訊可參考金管會金融競爭力專區網站：

<http://www.fsc.gov.tw/ch/home.jsp?id=146&parentpath=0,8>

## 貳、重要指標

截至 2022 年 4 月底止，上市公司計有 963 家，較上月增加 0 家；資本額新臺幣 74,120 億元，較上月增加新臺幣 95 億元；上市市值新臺幣 514,931 億元，較上月減少新臺幣 34,024 億元。

上櫃公司計有 796 家，較上月增加 2 家；資本額新臺幣 7,645 億元，較上月增加新臺幣 5 億元；上櫃市值新臺幣 47,488 億元，較上月減少新臺幣 5,571 億元。

集中市場股票總成交值新臺幣 48,613 億元，較上月減少新臺幣 22,600 億元；成交量 790 億股，較上月減少 177 億股。外資總累積匯入淨額 2,232 億美元，較上月減少 47 億美元。

專營證券商計 62 家，專營期貨商計 15 家，證券投資信託公司 39 計家，證券投資顧問公司計 86 家。

## 參、交易人從事期貨交易相關措施請參考臺灣期貨交易所網站

<http://www.taifex.com.tw/cht/index>

## 肆、外資投資相關問答集請參考證券期貨局網站

<http://www.sfb.gov.tw/ch/home.jsp?id=873&parentpath=0,6>

2022-4Updated