



I. Important Announcements ([Chinese](#))

1. FSC signs MoU with the Ontario Securities Commission on the Supervision of Qualified Central Counterparties

In March 2023, Taiwan's Financial Supervisory Commission (FSC) signed a Memorandum of Understanding (MoU) with the Ontario Securities Commission (OSC) of Canada, to establish cross-border supervisory cooperation and information exchange with respect to the Taiwan Futures Exchange Corporation (TAIFEX) applying for exempted clearing agency status as a qualified central counterparty (QCCP) in Ontario. This MoU expands the scope of financial regulatory cooperation between Taiwan and Canada following the signing of the Fintech Cooperation Agreement between the FSC and the financial regulatory authorities of 8 provinces in the Canadian Securities Administrators (CSA) in June 2020. The MoU will deepen the linkages and cooperation between Taiwan and Canada and help enhance the safety, efficiency, and competitiveness of Taiwan's financial market.

To attract foreign financial institutions to participate in the central clearing business in Taiwan, the FSC has encouraged TAIFEX to apply for QCCP status from regulatory authorities in various countries. The MoU between the FSC and the OSC establishes a cooperative relationship for the financial supervision of cross-border clearing agencies and helps TAIFEX meet the criteria for exemption from the OSC's regulatory requirements for recognition. Once TAIFEX obtains the exemption, Canadian financial institutions will be able to settle transactions through TAIFEX's clearing service and enjoy preferential capital requirements, thereby effectively enhancing their capital efficiency.

In addition, TAIFEX was recognized by the European Securities and Markets Authority (ESMA) as a third-country central counterparty (TC-CCP) on 31 December 2022. TAIFEX was granted No-Action Relief by the U.S. Commodity

Futures Trading Commission (CFTC) on 15 September 2022 and is seeking exemption from registration as a derivatives clearing organization (Exempt DCO) with the CFTC. Moreover, TAIEX has submitted an application to the UK regulatory authority for recognition as a Non-UK CCP. These ongoing developments are expected to increase the willingness of foreign investors to participate in Taiwan's financial market and boost market confidence.

The FSC will continue its efforts to enhance Taiwan's international financial regulatory cooperation network and build diverse, innovative, secure, and efficient capital markets, to attract foreign investment to Taiwan's financial market and enhance its international competitiveness and visibility.

2. Information on the competitiveness of Taiwan's financial industry is available online at:

<https://www.fsc.gov.tw/en/home.jsp?id=6&parentpath=0>

II. Market Wrap-up

As of the end of April 2023, the total number of TWSE listed companies stood at 978, an increase of 0 over the preceding month. Authorized capital was NT\$7,530.68 billion, an increase of NT\$8.24 billion over the preceding month. Market capitalization was NT\$48,913.33 billion, a decrease of NT\$868.28 billion from the preceding month.

There were 809 TPEX listed companies, a decrease of 1 from the preceding month. Authorized capital was NT\$741.91 billion, a decrease of NT\$0.37 billion from the preceding month. Total TPEX market capitalization was NT\$5,163.3 billion, a decrease of NT\$74.4 billion from the preceding month.

The total value of stock trades on the centralized market was NT\$3,700 billion, a decrease of NT\$1,400 billion from the preceding month. The volume of trading was 52.362 billion shares, a decrease of 16.273 billion shares from the preceding month. The net value of inwardly remitted foreign funds was US\$229.403 billion, a decrease of US\$0.464 billion from the preceding month.

There were a total of 59 securities firms. There were 15 enterprises exclusively engaged in futures commission merchant business, 38 investment trust companies, and 84 investment consulting companies.

III. For measures related to futures trading, please refer to the website of the Taiwan Futures Exchange:

<https://www.taifex.com.tw/en/eIndex>

IV. For frequently asked questions and answers related to investment by foreign investors, please refer to the website of the Securities and Futures Bureau:

<https://www.sfb.gov.tw/en/home.jsp?id=26&parentpath=0,9>

Note: If you expect to receive this newsletter, or have your name deleted from the sending list, or have your email information changed, please send to newsletter1 newsletter1@sfb.gov.tw. If you hope to know more about the Taiwan's securities and futures markets, please surf the websites of [Securities and Futures Bureau](#), [Taiwan Stock Exchange](#), [Taiwan Futures Exchange](#), [Taipei Exchange](#), [Taiwan Depository & Clearing Corporation](#).

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壹、重要公告

一、金管會與加拿大安大略省證券管理委員會(OSC) 簽署合格集中結算機構(下稱 QCCP)之監理合作瞭解備忘錄(MoU)

金管會於 112 年 3 月與加拿大安大略省證券管理委員會(OSC)簽署瞭解備忘錄 (MoU)，就臺灣期貨交易所股份有限公司(下稱期交所)向 OSC 申請豁免註冊為合格集中結算機構(QCCP)一事建立跨國監理合作協議。這是金管會繼 109 年 6 月與加拿大證券管理機構(CSA) 之 8 省金融主管機關共同簽署金融科技合作協議 (Fintech Cooperation Agreement)後，進一步擴大兩國金融監理合作範圍，將深化我國與加拿大之連結與交流，並有助於提升我國金融市場安全、效率與國際競爭力。

為吸引國外金融機構參與我國集中結算，金管會積極推動期交所向各國主管機關申請為合格集中結算機構。金管會與加拿大 OSC 簽署 MoU，建立對跨國結算機構之金融監理合作關係，有助於 OSC 後續對於期交所 QCCP 申請案之認可，屆時期交所取得認可，將使加拿大金融機構得透過期交所進行結算，並享有優惠之資本計提，有效提升其資金效率。

此外，期交所已於 111 年 12 月 31 日成為歐洲證券及市場管理局(ESMA)認可之合格境外集中結算機構(QCCP)，並於 111 年 9 月 15 日獲美國商品期貨交易委員會 (CFTC)核發 No-Action Relief，刻向 CFTC 爭取永久性 QCCP 之資格認可，另已向英國主管機關遞件申請認可為英國合格境外集中結算機構(QCCP)，屆時可望進一步提高外資參與我國金融市場之意願及市場信心。

金管會將持續強化與國外主管機關建立國際金融監理合作，打造多元創新、安全效率之資本市場，吸引外資為我國金融市場注入活水，並提升我國金融市場之國際競爭力與能見度。

二、有關我國金融競爭力相關資訊可參考金管會金融競爭力專區網站：

<http://www.fsc.gov.tw/ch/home.jsp?id=146&parentpath=0,8>

貳、重要指標

至 2023 年 4 月底止，上市公司計有 978 家，較上月增加 0 家；資本額新臺幣 75,306.8 億元，較上月增加新臺幣 82.4 億元；上市市值新臺幣 489,133.3 億元，較上月減少新臺幣 8,682.8 億元。

上櫃公司計有 809 家，較上月減少 1 家；資本額新臺幣 7,419.1 億元，較上月減少新臺幣 3.7 億元；上櫃市值新臺幣 51,633 億元，較上月減少新臺幣 744 億元。

集中市場股票總成交值新臺幣 3.7 兆元，較上月減少新臺幣 1.4 兆元；成交量 523.62 億股，較上月減少 162.73 億股。外資總累積匯入淨額 2,294.03 億美元，較上月減少 4.64 億美元。

專營證券商計 59 家，專營期貨商計 15 家，證券投資信託公司計 38 家，證券投資顧問公司計 84 家。

參、交易人從事期貨交易相關措施請參考臺灣期貨交易所網站

<http://www.taifex.com.tw/cht/index>

肆、外資投資相關問答集請參考證券期貨局網站

<http://www.sfb.gov.tw/ch/home.jsp?id=30&parentpath=0,6>

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