



I. Important Announcements ([Chinese](#))

1. Legislative Yuan Passed Draft Amendment to Articles 14-4, 181-2, 14-5, and 178 of the Securities and Exchange Act on Third Reading on 30 May 2023

On 30 May 2023, the Legislative Yuan passed on the third reading a draft bill submitted by the Executive Yuan of proposed amendments to the above-cited articles of the Securities and Exchange Act. Key points of the amendment are as follows:

- (1) Because the filing of lawsuits against directors, the convening of shareholder meetings, and the matter of who acts as representative when a director engages in his/her own dealings with the company are important matters for a company which should be fully discussed by the audit committee, the amendment requires that these matters be deliberated by the audit committee. (Amendments to Articles 14-4 and 181-2)
- (2) Considering possible situations in which a company has legitimate reasons for being unable to hold an audit committee meeting, in order to avoid any impact on the company's operations, it is added that the proposals for the audit committee's resolution in such a situation should be approved through a special resolution by all the directors; however, in the case of financial reporting matters when such matters are submitted to the board meeting, the independent directors' consent must still be provided so that the responsibilities of the audit committee members are fulfilled. In addition, related penalty provisions are added to further urge companies to fully implement the administrative management objectives of corporate governance. (Amendments to Articles 14-5 and 178)

2. Legislative Yuan Passed Draft Amendment to Articles 70-1 and 113-1 of the Securities Investment Trust and Consulting Act on Third Reading on 30 May 2023

On 30 May 2023, the Legislative Yuan passed on the third reading a draft bill submitted by the Executive Yuan of proposed amendments to Articles 70-1 and 113-1 of the Securities Investment Trust and Consulting Act. Key points of the amendment are as follows:

- (1) The amendment prohibits any entity that is not a securities investment trust enterprise (SITE) or a securities investment consulting enterprise (SICE) from engaging in certain types of advertising. It also requires internet platform providers and other internet communication media enterprises to disclose information on the publisher and sponsor of related advertisements that they publish or broadcast and prohibits the publishing or broadcasting of advertisements that violate the law. If an internet media enterprise discovers that an advertisement is in violation after it has been published or broadcast, the enterprise is required, at its own initiative or within a deadline as notified by relevant law enforcement agencies, to remove the advertisement, restrict browsing of it, cease its broadcast, or take other necessary measures. In such cases, the internet platform provider or other internet media enterprise will be held jointly and severally liable with the publisher and sponsor of the advertisement for damages.
- (2) The amendment also provides that if an internet platform provider or other internet communication media enterprise is notified to remove an advertisement, restrict browsing of it, cease its broadcast, or take other necessary measures and fails to do so by the deadline, the law enforcement agency that notified the internet enterprise to take down the advertisement may impose an administrative fine on the enterprise and order it to make corrections within a deadline.

3. Information on the competitiveness of Taiwan's financial industry is available online at:

<https://www.fsc.gov.tw/en/home.jsp?id=6&parentpath=0>

II. Market Wrap-up

As of the end of May 2023, the total number of TWSE listed companies stood at 979, an increase of 1 over the preceding month. Authorized capital was NT\$7,533.19 billion, an increase of NT\$2.51 billion over the preceding month. Market capitalization was NT\$52,041.79 billion, an increase of NT\$3,128.46 billion over the preceding month.

There were 812 TPEX listed companies, an increase of 3 over the preceding month. Authorized capital was NT\$745.07 billion, an increase of NT\$3.16 billion over the preceding month. Total TPEX market capitalization was NT\$5,357.61 billion, an increase of NT\$194.31 billion over the preceding month.

The total value of stock trades on the centralized market was NT\$5,400 billion, an increase of NT\$1,700 billion over the preceding month. The volume of trading was 69.542 billion shares, an increase of 17.18 billion shares over the preceding month. The net value of inwardly remitted foreign funds was US\$236.766 billion, an increase of US\$7.362 billion over the preceding month.

There were a total of 65 securities firms. There were 15 enterprises exclusively engaged in futures commission merchant business, 38 investment trust companies, and 84 investment consulting companies.

III. For measures related to futures trading, please refer to the website of the Taiwan Futures Exchange:

<https://www.taifex.com.tw/enl/eIndex>

IV. For frequently asked questions and answers related to investment by foreign investors, please refer to the website of the Securities and Futures Bureau:

<https://www.sfb.gov.tw/en/home.jsp?id=26&parentpath=0,9>

Note: If you expect to receive this newsletter, or have your name deleted from the sending list, or have your email information changed, please send to newsletter1 newsletter1@sfb.gov.tw. If you hope to know more about the Taiwan's securities and futures markets, please surf the websites of [Securities and Futures Bureau](#), [Taiwan Stock Exchange](#), [Taiwan Futures Exchange](#), [Taipei Exchange](#), [Taiwan Depository & Clearing Corporation](#).

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[top](#)

壹、重要公告

一、立法院院會於 112 年 5 月 30 日三讀通過「證券交易法」第 14 條之 4、第 181 條之 2、第 14 條之 5、第 178 條修正草案

立法院院會於 112 年 5 月 30 日三讀通過行政院送請立法院審議之「證券交易法」部分條文修正草案。本次修正重點如下：

- (1) 基於對董事提起訴訟、召集股東會及董事為自己與公司交易時之代表，攸關公司重要事項，應透過審計委員會合議方式充分討論以為周延，爰規範前揭事項應以審計委員會合議為之。（修正條文第 14 條之 4、第 181 條之 2）
- (2) 考量公司如有正當理由致審計委員會無法召開情事，為避免對公司營運造成影響，爰增訂此時應送交審計委員會決議事項，應以全體董事特別決議行之，但對於財務報告事項，於提董事會時，仍應檢具獨立董事出具同意之意見，以落實其係審計委員會成員之職責。另為敦促公司落實公司治理之行政管理目的，配合增訂相關處罰之規定。（修正條文第 14 條之 5、第 178 條）

二、立法院院會於 112 年 5 月 30 日三讀通過「證券投資信託及顧問法」增訂第 70 條之 1 及第 113 條之 1 條文修正草案

立法院院會於 112 年 5 月 30 日三讀通過行政院送請立法院審議之「證券投資信託及顧問法」增訂第 70 條之 1 及第 113 條之 1 條文修正草案。本次修正重點如下：

- (一) 增訂非屬證券投資信託事業及證券投資顧問事業者不得從事之廣告行為類型；另網際網路平臺提供者等網路傳播媒體業者刊登或播送廣告應載明委託刊播者及出資者相關資訊，且不得刊登或播送違反規定之廣告，如於刊播後始知該廣告有違規情事，應主動或於司法警察機關通知期限內移除廣告、限制瀏覽、停止播送或為其他必要之處置，並明定網際網路平臺提供者等網路

傳播媒體業者與委託刊播者、出資者之連帶損害賠償責任。

- (二) 明定網際網路平臺提供者等網路傳播媒體業者未於通知期限內移除、限制瀏覽、停止播送或為其他必要之處置者，由通知廣告下架之司法警察機關處以罰鍰，並責令限期改善。

三、 有關我國金融競爭力相關資訊可參考金管會金融競爭力專區網站：

<http://www.fsc.gov.tw/ch/home.jsp?id=146&parentpath=0,8>

貳、重要指標

截至 2023 年 5 月底止，上市公司計有 979 家，較上月增加 1 家；資本額新臺幣 75,331.9 億元，較上月增加新臺幣 25.1 億元；上市市值新臺幣 520,417.9 億元，較上月增加新臺幣 31,284.6 億元。

上櫃公司計有 812 家，較上月增加 3 家；資本額新臺幣 7,450.7 億元，較上月增加新臺幣 31.6 億元；上櫃市值新臺幣 53,576.1 億元，較上月增加新臺幣 1,943.1 億元。

集中市場股票總成交值新臺幣 5.4 兆元，較上月增加新臺幣 1.7 兆元；成交量 695.42 億股，較上月增加 171.80 億股。外資總累積匯入淨 2,367.66 億美元，較上月增加 73.62 億美元。

專營證券商計 65 家，專營期貨商計 15 家，證券投資信託公司計 38 家，證券投資顧問公司計 84 家。

參、交易人從事期貨交易相關措施請參考臺灣期貨交易所網站

<http://www.taifex.com.tw/cht/index>

肆、外資投資相關問答集請參考證券期貨局網站

<http://www.sfb.gov.tw/ch/home.jsp?id=30&parentpath=0,6>

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