



I. Important Announcements ([Chinese](#))

1. Draft Amendment Adding New Articles 174-3 and 174-4 to the Securities and Exchange Act Passed by Legislative Yuan on the Third Reading on 30 May 2023 and Promulgated by Presidential Order on 28 June 2023

On 30 May 2023, the Legislative Yuan passed on the third reading a draft bill submitted by the Executive Yuan introducing new articles 174-3 and 174-4 to Taiwan's Securities and Exchange Act. This amendment was promulgated by presidential order on 28 June 2023. Key points expressly provided in the amendment are as follows:

- (1) Any person who endangers, through the employment of theft, damage, or other illegal means, the normal operation of equipment functions of a core information and communication system of the Taiwan Stock Exchange, the Taipei Exchange, or the Taiwan Depository and Clearing Corporation shall be sentenced to imprisonment for not less than 1 year and not more than 7 years, and additionally may be imposed a criminal fine of not more than NT\$10 million. Heavier sentences shall be imposed when such an offense is committed with intent to endanger national security or social stability, or such offense harms the stability of the securities market. Any attempted offense is also punishable. (Amendment Article 174-3)
- (2) Any person who endangers the normal operation of equipment functions of a core information and communication system of the Taiwan Stock Exchange, the Taipei Exchange, or the Taiwan Depository and Clearing Corporation by means of virtual infringement shall be sentenced to imprisonment for not less than 1 year and not more than 7 years, and additionally may be imposed a criminal fine of not more than NT\$10 million. Heavier sentences shall be imposed when such an offense is committed with intent to endanger national security or social stability, or such offense harms the stability of the securities market. Any attempted offense is also punishable. (Amendment Article 174-4)

2. Draft Amendment Adding New Articles 112-1 and 112-2 to the Futures Trading Act Passed by Legislative Yuan on Third Reading on 30 May 2023 and Promulgated by Presidential Order on 28 June 2023

On 30 May 2023, the Legislative Yuan passed on the third reading a draft bill submitted by the Executive Yuan introducing new articles 112-1 and 112-2 to Taiwan's Futures Trading Act. This amendment was promulgated by presidential order on 28 June 2023. Key points expressly provided in the amendment are as follows:

- (1) Any person who endangers, through the employment of theft, damage, or other illegal means, the normal operation of equipment functions of a core information and communication system of the Taiwan Futures Exchange or a futures clearing house shall be sentenced to imprisonment for not less than 1 year and not more than 7 years, and additionally may be imposed a criminal fine of not more than NT\$10 million. Heavier sentences shall be imposed if such an offense is committed with intent to endanger national security or social stability, or such offense seriously affects the futures market order. Any attempted offense is also punishable. (Amendment Article 112-1)
- (2) Any person who endangers the normal operation of equipment functions of a core information and communication system of the Taiwan Futures Exchange or a futures clearing house by means of virtual infringement shall be sentenced to imprisonment for not less than 1 year and not more than 7 years, and additionally may be imposed a criminal fine of not more than NT\$10 million. Heavier sentences shall be imposed when such an offense is committed with intent to endanger national security or social stability, or such offense seriously affects the futures market order. Any attempted offense is also punishable. (Amendment Article 112-2)

3. Information on the competitiveness of Taiwan's financial industry is available online at:

<https://www.fsc.gov.tw/en/home.jsp?id=6&parentpath=0>

II. Market Wrap-up

As of the end of June 2023, the total number of TWSE listed companies stood at 979, an increase of 0 over the preceding month. Authorized capital was NT\$7,536.72 billion, an increase of NT\$3.53 billion over the preceding month. Market capitalization was NT\$53,113.74 billion, an increase of NT\$1,071.95 billion over the preceding month.

There were 812 TPEX listed companies, an increase of 0 over the preceding month. Authorized capital was NT\$748.07 billion, an decrease of NT\$3.0 billion over the preceding month. Total TPEX

market capitalization was NT\$5,565.58 billion, an increase of NT\$207.97 billion over the preceding month.

The total value of stock trades on the centralized market was NT\$6,041.84 billion, an increase of NT\$663.32 billion over the preceding month. The volume of trading was 83.76 billion shares, an increase of 14.22 billion shares over the preceding month. The net value of inwardly remitted foreign funds was US\$240.358 billion, an increase of US\$3.559 billion over the preceding month.

There were a total of 59 securities firms. There were 15 enterprises exclusively engaged in futures commission merchant business, 38 investment trust companies, and 85 investment consulting companies.

III. For measures related to futures trading, please refer to the website of the Taiwan Futures Exchange:

<https://www.taifex.com.tw/en/eIndex>

IV. For frequently asked questions and answers related to investment by foreign investors, please refer to the website of the Securities and Futures Bureau:

<https://www.sfb.gov.tw/en/home.jsp?id=26&parentpath=0,9>

Note: If you expect to receive this newsletter, or have your name deleted from the sending list, or have your email information changed, please send to newsletter1 newsletter1@sfb.gov.tw. If you hope to know more about the Taiwan's securities and futures markets, please surf the websites of [Securities and Futures Bureau](#), [Taiwan Stock Exchange](#), [Taiwan Futures Exchange](#), [Taipei Exchange](#), [Taiwan Depository & Clearing Corporation](#).

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壹、重要公告

一、立法院院會於 112 年 5 月 30 日三讀通過「證券交易法」增訂第 174 條之 3 及第 174 條之 4 條文修正草案，並經總統於 112 年 6 月 28 日以總統令公布

立法院院會於 112 年 5 月 30 日三讀通過行政院送請立法院審議之「證券交易法」增訂第 174 條之 3 及第 174 條之 4 條文修正草案，並經總統於 112 年 6 月 28 日以總統令公布之，本次修正重點如下：

1. 明定以竊取、毀壞或其他非法方法危害證券交易所、證券櫃檯買賣中心或證券集中保管事業之核心資通系統設備功能正常運作者，處 1 年以上 7 年以下有期徒刑，得併科新臺幣 1 千萬元以下罰金，並就意圖危害國家安全或社會安定及損及證券交易市場穩定，加重刑責；對於未遂犯明定予以處罰。(修正條文第 174 條之 3)
2. 明定對於證券交易所、證券櫃檯買賣中心或證券集中保管事業之核心資通系統，以虛擬侵害方法危害其功能正常運作者，處 1 年以上 7 年以下有期徒刑，得併科新臺幣 1 千萬元以下罰金，並就意圖危害國家安全或社會安定及損及證券交易市場穩定，加重刑責；對於未遂犯明定予以處罰。(修正條文第 174 條之 4)

二、立法院於 112 年 5 月 30 日三讀通過「期貨交易法」增訂第 112 條之 1 及第 112 條之 2 條文修正草案，並經總統於 112 年 6 月 28 日以總統令公布

立法院於 112 年 5 月 30 日三讀通過行政院送請立法院審議之「期貨交易法」增訂第 112 條之 1 及第 112 條之 2 條文修正草案，並經總統於 112 年 6 月 28 日以總統令公布之，本次修正重點如下：

1. 明定以竊取、毀壞或其他非法方法危害期貨交易所或期貨結算機構之核心資通系統設備功能正常運作者，處 1 年以上 7 年以下有期徒刑，得併科新臺幣 1 千

萬元以下罰金，並就意圖危害國家安全或社會安定及嚴重影響期貨市場秩序者，加重刑責；對於未遂犯明定予以處罰。(修正條文第 112 條之 1)

2. 明定對於期貨交易所或期貨結算機構核心資通系統，以虛擬侵害方法危害其功能正常運作者，處 1 年以上 7 年以下有期徒刑，得併科新臺幣 1 千萬元以下罰金，並就意圖危害國家安全或社會安定及嚴重影響期貨市場秩序者，加重刑責；對於未遂犯明定予以處罰。(修正條文第 112 條之 2)

三、有關我國金融競爭力相關資訊可參考金管會金融競爭力專區網站：

<http://www.fsc.gov.tw/ch/home.jsp?id=146&parentpath=0,8>

貳、重要指標

截至 2023 年 6 月底止，上市公司計有 979 家，較上月增加 0 家；資本額新臺幣 75,367.2 億元，較上月增加新臺幣 35.3 億元；上市市值新臺幣 531,137.4 億元，較上月增加新臺幣 10,719.5 億元。

上櫃公司計有 812 家，較上月增加 0 家；資本額新臺幣 7,480.7 億元，較上月減少新臺幣 30 億元；上櫃市值新臺幣 55,655.8 億元，較上月增加新臺幣 2,079.7 億元。

集中市場股票總成交值新臺幣 60,418.4 億元，較上月增加新臺幣 6,633.2 億元；成交量 837.6 億股，較上月增加 142.2 億股。外資總累積匯入淨額 2,403.58 億美元，較上月增加 35.59 億美元。

專營證券商計 59 家，專營期貨商計 15 家，證券投資信託公司計 38 家，證券投資顧問公司計 85 家。

參、交易人從事期貨交易相關措施請參考臺灣期貨交易所網站

<http://www.taifex.com.tw/cht/index>

肆、外資投資相關問答集請參考證券期貨局網站

<http://www.sfb.gov.tw/ch/home.jsp?id=30&parentpath=0,6>

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